

# Tech Report: Design and Evaluation of an SQL-Based Dialect for Spoken Querying

Kyle Luoma

University of California, San Diego  
La Jolla, California  
kluoma@ucsd.edu

Arun Kumar

University of California, San Diego  
La Jolla, California  
arunkk@eng.ucsd.edu

## ABSTRACT

As automatic speech recognition matures, there is growing interest in speech-driven and multimodal database querying. In this exploratory work we study the potential of a speech-first dialect of SQL for more natural spoken querying with correctness guarantees. We desire minimal deviation from SQL, less structural rigidity, and an unambiguous context free grammar. We call our dialect SpeakQL. We devise a series of features to satisfy the desiderata and build a SpeakQL-to-SQL translator. We evaluate SpeakQL’s ease of use against SQL for spoken querying with an A/B user study. The quantitative results show that despite being slightly more verbose, SpeakQL is not statistically significantly slower or faster than SQL for dictation, but most participants find SpeakQL easier for more complex queries. The qualitative feedback suggest an affinity for some of our new features and an overall user experience that validates SpeakQL’s potential to make spoken querying easier.

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### PVLDB Artifact Availability:

The source code, data, and/or other artifacts have been made available at <https://github.com/KyleLuoma/SpeakQL-Dialect/>.

## 1 INTRODUCTION

The database community has long explored new interfaces to make databases easier to access and query in various kinds of settings for both data professionals and lay users. Typing SQL remains the main form of usage for data professionals but many lines of research have explored other new modalities: visually-oriented, touchscreen-oriented (e.g., [12]), speech-oriented (e.g., [31]), and natural language interfaces or NLIs (e.g., [10, 14, 30, 34]). In particular, our recent work on SpeakQL [31] showed that modern automatic speech recognition (ASR) tools have matured enough to combine the benefits of dictating regular SQL in conjunction with touchscreen capabilities. Such speech-driven querying was shown to make query specification significantly faster in tablet environments, which could offer more flexibility for anywhere-anytime querying for data analysts and other data professionals.

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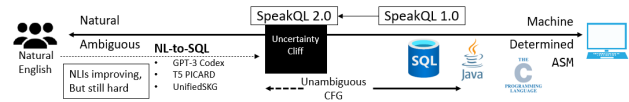


Figure 1: Bridging the gap between naturalness and determinism in programming languages; extension of figure from [31].

In this paper, we go further to ask a more radical exploratory research question: *If we are to design a structured query language for the speech-first era, how should it look?* At first blush, it may sound odd to still study structured querying in the era of ChatGPT [6, 24, 27] and advances in NLIs [14]. Why bother with anything more than mere English “prompting”? First off, there is a difference in motivation: NLIs mainly target lay users, while our focus is on the swathe of data professionals who are *already familiar* with SQL and use it regularly. Second, AI models still do not offer the strong guarantee of “correct-by-construction” that SQL-like languages do—we know *exactly what* we get in response to a query. In contrast, AI models suffer the “hallucination” problem [11] that can cause insidious errors that may be hard to catch, especially on arbitrary database schemas and more complex queries. Overall, we believe exploiting ASR to make SQL-style querying easier could help data professionals.

**Desiderata.** We start by describing some desirable properties of a spoken structured query language motivated by balancing *usability for specification* and *practicality in design*. (1) Minimal deviation from SQL to ensure it is easy to pick up for people who know SQL already. (2) Less rigid than SQL and more natural English-style flow in structure for the speech modality. (3) Unambiguous context free grammar (CFG) to ensure a valid spoken query can be translated to a *semantically equivalent* SQL query to enable use of an RDBMS as is for query execution.

**Our Approach.** In this paper, we design and evaluate a *new dialect of SQL* for spoken querying in response to the above desiderata. We call our dialect SpeakQL 2.0 or just *the SpeakQL dialect*. Figure 1 illustrates where SpeakQL 2.0 falls in the spectrum of naturalness and determinism. Unlike NLIs that do not offer correctness guarantees, SpeakQL 2.0 has an unambiguous CFG. But it is less rigid than regular SQL although it is not multimodal (no touchscreen component) like what SpeakQL 1.0 proposed [31]. We design the SpeakQL dialect as an extension of the ANSI SQL grammar. It has several features to help increase the “naturalness” of speaking queries in the style of “stream of thought” instead of specifying everything all at once.

**Motivating Applications.** Before explaining the dialect’s features, we describe some motivating application scenarios for spoken structured querying with a dialect such as SpeakQL.

- *On-the-go ad hoc database access.* Data-driven operations are now common in many domains. Organizations with field-based assets who operate in remote or austere environments such as the military [9] and the oil-and-gas industry [21] may face barriers to purely typing-based or touch-based data access on the field. Such barriers could be due to lack of workspace for keyboards, personal protective equipment requirements impeding typing/touching, or on-the-go working conditions such as a mobile headquarters. Spoken querying boosts capabilities in such settings for on-the-go ad hoc database access. As an example, suppose a military cyber-defense team in the field that must wear protective equipment detects anomalous behavior on some client devices. Pre-built (canned) analytics dashboards may not address all their unexpected querying needs. NLI run the risk of erroneous query translation. But dictating a precise structured query can empower the team to access and analyze relevant network traffic data without compromising team security, agility, and query fidelity.
- *Assistive technology for people with motor impairment.* The U.S. Bureau of Labor Statistics data shows that in 2020 in the IT and engineering sectors, 18% of nonfatal injuries/illness involving days away from work were in the upper extremities, viz., shoulders, arms, hands and wrists [1]. For such people, as well as for many people with arm disabilities, typing, clicking, or touchscreens may not be viable as a modality but speech can be a powerful modality. Thus, spoken structured querying can help data professionals with such disabilities or injuries.

**SpeakQL Design and Features.** The SpeakQL dialect has 4 new features with increasing sophistication, grouped into 2 categories: smaller local changes to the grammar’s production rules and deeper structural changes with more complex rules. Each feature is *optional*, which means regular SQL syntax is also valid in SpeakQL. Section 3 dives into their details with examples but we summarize their rationale here. The first category has the following two features. (1) *English synonyms* for some SQL keywords such as SELECT and FROM. (2) *Natural functions* to omit speaking of special character symbols such as commas and parentheses in some contexts. These two extensions make SpeakQL sound less like code and more like English (compared to SQL). So, they enhance the “naturalness” of the spoken query.

The second category has the following two features. (3) *Clause reordering.* Specifically, the SELECT, FROM, and WHERE clauses can be spoken in any order. Query modifier clauses such as GROUP BY and ORDER BY too can be reordered. (4) *Unbundling* of complex queries into per-table decomposed queries. This allows users to reason about one table at a time instead of “all at once” like in SQL. This is inspired by function-stitching style programming seen for Python Pandas and Spark DataFrame. It can reduce the amount of schema context to keep in mind when speaking, albeit at the cost of raising verbosity and query token lengths. Overall, these two

features reduce query rigidity and offer more freedom for “stream of thought” querying.

**User Study-based Evaluation.** In this paper, we focus primarily on evaluating the *usability* of the SpeakQL dialect for spoken querying compared to regular SQL. We leave more extensive comparisons with other querying modalities or integration with multimodal interfaces to future work. We implemented the SpeakQL dialect and conducted a within-subjects A/B user study. We had 22 participants, all UCSD students familiar with SQL and relational databases. They were given a 6-table university database schema and asked to speak 12 queries, half designed to be simple and half, complex. The user study was conducted over a span of 4 months. Performance was measured in terms of both the time required to plan and specify a full query in response to an English prompt posed and the number of attempts till a fully correct query.

Overall, we find no statistically significant differences in the total query specification time for SQL vs. the SpeakQL dialect. This suggests that SpeakQL’s extra verbosity was compensated for by lower thinking effort/time. Indeed, on average SpeakQL sees slightly lower median specification times for planning the complex queries. We also find that participants get better at speaking SpeakQL as they become more familiar with its features. The mass of qualitative textual feedback from the post-participation surveys also offer numerous interesting insights into the strengths and current weaknesses of SpeakQL. We see both positive and negative feedback on both the dialect and its individual features. But in aggregate, participants reported that SpeakQL made it “much easier” or “somewhat easier” to use than SQL between half to four-fifths of the time depending on the feature. Natural functions and unbundled queries were the most highly liked and used features, while synonyms were (perhaps surprisingly) deemed not that useful. In all, our user study results and surveys suggest that the SpeakQL dialect is indeed user-friendly. We hope it spurs more research conversations in the community on more design iterations, additional features, and ultimately, fully fledged spoken querying for databases in more contexts.

To summarize, this paper makes the following technical contributions:

- To the best of our knowledge, this is the first paper to systematically study and evaluate an extension to SQL tailored for spoken querying.
- We present the SpeakQL dialect with four new features that raise naturalness and reduce rigidity compared to SQL, while preserving correct-by-construction guarantees with a context free grammar.
- We describe the implementation of the SpeakQL dialect, including its grammar rules and our translator to convert any SpeakQL query to regular SQL to ensure it can be used for existing RDBMSs.
- We present an extensive user study-based evaluation of SpeakQL vs. SQL for spoken querying. Our empirical findings, both quantitative and qualitative, suggest the usability of such a dialect and also offer avenues for more research to improve it.

## 2 BACKGROUND

### 2.1 The Structured Query Language (SQL)

**Origins and Purpose.** Introduced in 1974 [8], SQL is nearing its 50th birthday. Despite (or perhaps because of) its age, it remains the de facto standard language for database querying. SQL (originally named SEQUEL) was intended for both application programmers and a non-programmer target audience of business professionals and other laypersons requiring data access from relational databases [7]. Its initial design and following updates were informed by a user-centric approach, and it is perhaps one of the first programming languages for which human-computer interaction considerations were deliberately studied [28, 29]. Due to its high popularity, declarative nature, targeted purpose, structured syntax, and human-centric design, SQL is a natural starting point for a spoken query language.

**Syntax Grammar and SQL Variants.** Numerous variants of SQL syntax exist from multiple vendors and open source projects. While each variant tends to contain implementation-specific features targeted at specific RDBMSs, most (if not all) generally adhere to the ISO/IEC 9075-1:2016 information technology standard for SQL [13]. Seven SQL grammars are available under various open source licenses on the ANTLR parser Github repository including: Hive, MySQL, PL-SQL, PostgreSQL, SQLite, Trino, and T-SQL [2].

**Human Factors.** Human factors evaluations were conducted as part of the SEQUEL development effort. Usability experiments comprised of teaching SEQUEL to programmer and non-programmer college students. The study yielded several results, including the recommendation to make SEQUEL a layered system consisting of three layers representing increasing levels of complexity, and the recommendation to replace complicated correlation and computed variable syntax with the join feature, which most SQL users are familiar with now.

Reisner also discovered that sources of minor errors when converting English statements into SEQUEL queries included ending errors, spelling errors, and synonym errors. These discoveries resulted in the recommendation to incorporate spelling correction, introduce a synonym dictionary to the language syntax, and a create stem-matching procedure as user aids that would enable users to use keywords with various forms of conjugation. [28] In a later study, Reisner also confirmed that query complexity has a directly proportional effect on the likelihood of error occurrence during query formulation [29].

A more recent SQL usability study revealed that user tendency toward invalid syntax synonyms, omission of punctuation, and the NL-like nature of some SQL keywords can be sources of programming errors among novice users. Table joins, aliases, and subqueries were also identified as significant sources of programming errors [20].

### 2.2 Natural and Controlled Natural Languages

**Natural Language Interfaces.** Natural language interfaces (NLIs), such as the recently popular ChatGPT [6, 24, 27], show that NL-based chatbots can be a viable tool for many purposes, including NL-to-SQL querying, wherein users express their query intent in regular English. NL-to-SQL is still an active area of research [6, 14, 30, 34],

and it has strong potential to lower the barrier to entry to lay users (people without SQL knowledge). But NLIs still suffer from three issues in technical applications such as database querying that data professionals may be wary of: *ambiguities*, which can confound user goals; *out-of-vocabulary terms*, common in database schemas and predicate content, can hinder accurate translation; and *lack of correctness guarantees*, compounded by the “hallucination” problem of generative NLP models. That said, recent research suggests that NLI with more restricted grammar and/or structure can improve user experience for technically complex tasks [22].

**Controlled Natural Languages.** Controlled or restricted NL are based on an NL such as English but more restrictive in their lexicon, syntax, and semantics. They retain a majority of its base NL properties and are defined explicitly [16]. Most PLs (including SQL) are not controlled NLs because their syntax deviates too much from the NL and have many statements that do not exist in the NL. Controlled NLs have been evaluated against linear keyword languages such as SQL and found to be easier for novice users for performing data retrieval tasks [32]. In contrast to NL-to-SQL described previously, a controlled NL does offer the benefit of being “correct-by-construction” at the cost of being less flexible.

**Naturalness.** Naturalness of a controlled NL can be evaluated by how close an expression in it is to its base NL. This is evaluated in terms of both *readability* and *understandability*. These criteria can range from completely unnatural, where the controlled NL uses symbols, characters, and unnatural keywords, to languages with natural sentences where the controlled NL can yield expressions that appear as if they were written in the base languages [16].

### 2.3 SpeakQL

A subset of the authors designed the SpeakQL speech+touch multi-modal querying interface in prior work [31]. It was aimed at data professionals such as data analysts, nurse informaticists, and DBAs who desired ad-hoc on-the-go querying in settings without a regular computer but with mobile devices such as a tablet. That paper’s user study showed that the SpeakQL interface reduced query specification times vs. typing SQL in such settings by 2.7x on average. But conversations with such data professionals in that work revealed a key functionality gap: people with SQL knowledge may want to do a quick record retrieval or analytics query in an ad-hoc setting where even touchscreens are unviable for query specification, let alone keyboards, but voice is feasible. Speech-driven querying can also help people with disabilities or temporary injuries and perhaps also augment conversational assistants such as Alexa, Siri, etc. to aid in database querying. That provided the basis for this exploratory work on a spoken SQL dialect.

## 3 OUR SPEAKQL DIALECT

We now present our prototype speech-first dialect extension of SQL. We overload the prior art interface name to call our dialect SpeakQL too. It has four new features, all optional for usage:

- Keyword Synonyms and Optional Syntax
- Natural Functions
- Query Clause Ordering
- Complex Query Unbundling

SQL Keyword	SpeakQL Synonyms
SELECT	Select, Find, Retrieve, Get, Show Me, Display, Present, What Is, What Is The, What Are, What Are The
FROM	From, From table, From Tables, In Table, In Tables
, ' (Comma)	, ' (Comma), And
JOIN	Join, Join Table, Join With Table, By Joining, By Joining Table, By Joining With Table, Joined With, Joined With Table

**Table 1: Synonyms in SpeakQL for SQL keywords.**

SpeakQL can be considered a controlled NL based on English that extends SQL. Note that SQL is a constructed language rather than a controlled NL. This distinction arises because the objective of the SpeakQL dialect is to increase naturalness of specifying queries, achieved via the introduction of English grammar features and the reduction of special character (non-alphabet) symbol usage in SpeakQL queries.

We defined the SpeakQL grammar by extending a big part of the MySQL grammar from the Antlr4 repository [2]. We added additional production rules within existing SQL rules to realize our features. This means that SpeakQL is a *superset* of that chosen SQL subset. That is, a query constructed using the regular SQL syntax and keywords is a valid SpeakQL query too. So, users can “fall back” on regular SQL if they desire to.

Due to space constraints, we only provide intuitive explanations and examples of SpeakQL features in this paper. More rigorous grammar details can be found in our full technical report [17].

### 3.1 Keyword Synonyms and Optional Syntax

This is a simple feature designed to increase the naturalness of an SQL query by enabling more sentence-like expressions. The intuition driving the development of these features is that speech patterns may be more amenable to use NL-like behavior, e.g., omitting syntax such as symbols and punctuation but including concepts such as determinatives (e.g., THE) and prepositions (e.g., OF).

**3.1.1 Synonyms.** This feature is motivated in-part by early human-computer interface research performed on SQL users [28] that recognized the benefit of syntax synonyms and optional word stemming, as well as more recent observations on tendencies toward synonyms [20]. We introduce SQL keyword-equivalent synonyms for the most common DML syntax keywords: SELECT, FROM, and JOIN, as well as for the comma as a column- or table-delimiter within the SELECT and FROM clauses, respectively.

**3.1.2 Optional Syntax.** SpeakQL allows for the use of optional THE and TABLE keywords when dictating a table expression. This permits expressions such as *SELECT everything FROM THE courseoffering TABLE*, which can be more natural for speaking than the SQL equivalent *SELECT everything FROM courseoffering*. Introducing the THE keyword as a determinant clarifies the context of the subject of the SpeakQL sentence, which is the *courseoffering* table. Appending

the TABLE keyword to the expression provides further context and clarity that the referenced table is a tangible object within the database. While neither keyword changes the expression’s meaning, their usage improves the naturalness of the SELECT statement, thus potentially improving the dictation experience.

#### 3.1.3 Examples.

SQL: SELECT area, wheelchairspaces  
FROM room WHERE floor = 2;

*SpeakQL. Show me area and wheelchairspaces in the room table where floor equals 2*

SQL: SELECT COUNT(id) FROM course;

*SpeakQL. What is the count parenthesis id parenthesis in the course table*

### 3.2 Natural Functions

The SQL subset we support includes aggregator functions such as SUM, AVG, and COUNT. In the prior work on the SpeakQL interface, users had to verbalize the parentheses symbols, which reduces the naturalness of dictation. While parentheses are often essential for disambiguation, for the SpeakQL dialect we identified a set of function references in which parentheses could be omitted safely without affecting the query’s semantic meaning. Specifically, our SpeakQL dialect permits the expression of functions naturally, that is without verbalizing parenthesis, for functions that have a single constant or column as an argument. This feature also permits the optional syntax keywords THE and OF to surround the function name, resulting in more NL-like sentence expressions.

However, if the query intent involves the inclusion of an expression as a function argument, the verbalization of parenthesis remains a requirement. This allows the SpeakQL dialect to retain SQL’s capability to pass mathematical, comparative, and subquery expressions as function arguments within the boundaries of dictated parentheses, ensuring we avoid ambiguity such as cases in which neighboring SELECT clause elements get misinterpreted as function arguments by the translator.

#### 3.2.1 Examples.

SQL: SELECT COUNT(id) FROM course;

*SpeakQL. Get the count of id from the course table*

SQL: SELECT AVG(units), COUNT(title)  
FROM course

*SpeakQL. Find the average units and the count of title in the course table*

### 3.3 Query Clause Ordering

This feature allows for optionally reordering the SELECT, FROM/JOIN, and WHERE clauses, as well as the GROUP BY, HAVING, ORDER BY, and LIMIT clauses. That is, these clauses may appear in any order within a SpeakQL statement.

**3.3.1 SELECT-FROM-WHERE Ordering.** Intuitively, we recognize that there are alternate paths to forming a SQL query. In some instances, the SELECT, FROM, WHERE ordering of SQL syntax

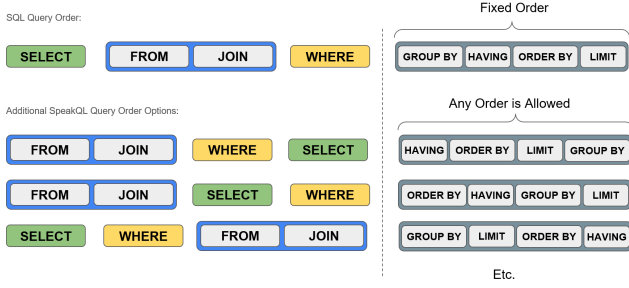


Figure 2: Alternate Ordering

is the order a user may find most-useful when dictating a query. In other cases, users may wish to reason about table sources and joins prior to defining columns and functions; alternatively, users may wish to establish restrictions using where predicates before defining other aspects of a query. Additionally, alternate ordering provides "second chances" for query recovery. For example, if a user is dictating a query and defines the SELECT and WHERE clauses, forgetting to state the table source, they may resolve this omission by dictating the FROM clause at the end of the query rather than starting over from the beginning.

**3.3.2 Modifier Ordering.** The *modifier* optional ordering feature (in this paper modifiers refer to the GROUP BY, HAVING, ORDER BY, and LIMIT expressions) is partially motivated by the observation that queries that require multiple modifier statements such as GROUP BY and HAVING tend to be more complex than simple single table queries or queries with a single modifier such as GROUP BY [4]. SQL syntax requires that these clauses occur in the strict order GROUP BY, HAVING, ORDER BY, and LIMIT. If these clauses appear out of order within a query, it is invalid and requires correction. While this is not a significant problem for typed queries, as they can easily be rearranged in a text editor, if such an error is introduced during the spoken querying process, more sophisticated error correction is required and the query speaker must likely re-dictate the entire query.

### 3.3.3 Examples.

SQL: SELECT DISTINCT termperiod FROM term  
WHERE year = 2022;

*SpeakQL. From the term table show me distinct termperiod where year equals 2022*

SQL: SELECT facultyname, ondays  
FROM courseoffering  
WHERE capacity > 20  
ORDER BY facultyname LIMIT 10;

*SpeakQL. In the courseoffering table where capacity is greater than 20 find facultyname and ondays limit 10 order by facultyname*

## 3.4 Query Unbundling

This feature aims to make it easier to formulate more complex queries joining multiple tables. In SQL, the SELECT clause requires the user to specify *all* columns, scalars, and functions from across all

tables in one go, followed by naming *all* table sources, subqueries, and joins in one go, followed by expressing *all* constraints in the form of WHERE predicates. Only after all that can the user add modifiers such as LIMIT, ORDER BY, HAVING, and GROUP BY. Basically, it is a "global" approach to using the full database's schema in query construction. It forces the retention of a lot of schema details in the speaker's working memory for the entire duration of the query dictation, e.g., all non-aggregate columns that appeared in the SELECT clause must reappear in the GROUP BY clause at the end. While this may not be a big deal for typing, it can be a hindrance for ease of spoken querying.

Query unbundling aims to directly reduce this cognitive load based on the "stream of thought" philosophy inspired by functional programming APIs such as Python Pandas and Spark DataFrames. Basically, this is a "local-first" approach to using the database schema in query construction. It is closer to the logical query plan produced behind the scenes for SQL queries. We extend the grammar to permit the expression of *unbundled SELECT* queries that specify columns, table source, and WHERE predicates for *one relation at a time*. These unbundled relation can then be joined together using separate *join-with* clauses where the speaker defines the join predicate(s). Each unbundled query is delimited by the AND THEN, THEN, or NEXT keywords. Modifier clauses can be specified together in a single expression or separately using multiple modifier clauses. The order of the *unbundled SELECT*, *JOIN-WITH*, and *modifier* clauses remains optional, retaining the additional flexibility for dictation that SpeakQL offers.

**3.4.1 Unbundled Query Parts.** There are 3 types of unbundled query parts: a single-relation select-project, a join clause that specifies the join criteria between two single-relation queries, and a modifier clause that enables specification of GROUP BY, HAVING, LIMIT, and ORDER BY.

Within a single-relation select-project clause, a relation can be defined as a table reference or a subquery. Projections are expressed within the SELECT clause; and selections relating to the query's relation are defined within the WHERE clause as usual. As with non-unbundled SpeakQL queries, the table expression, SELECT expression, and WHERE expression may be dictated in any order. Table items for unbundled query parts that contain joins are consolidated in the output SQL query as a combination of a FROM clause for a single table and join expressions for the remaining tables. Otherwise, table items for unbundled queries where join conditions between tables are defined within a single-table part's WHERE clause are consolidated in the output SQL query's WHERE clause in the same fashion. We present two examples.

*Unbundled SpeakQL query: SELECT a and b FROM table R AND THEN GET c and d FROM S WHERE R.id = S.id*

SQL: SELECT R.a, R.b, S.c, S.d FROM S, R WHERE R.id=S.id;

*Unbundled SpeakQL query: SELECT a and b FROM table R AND THEN GET c and d FROM S AND THEN JOIN R WITH S on R.id=S.id*

SQL: SELECT R.a, R.b, S.c, S.d FROM S JOIN R on R.id=S.id;

All 4 queries above are logically equivalent, representable using the relational algebra expression shown below. The first line lists

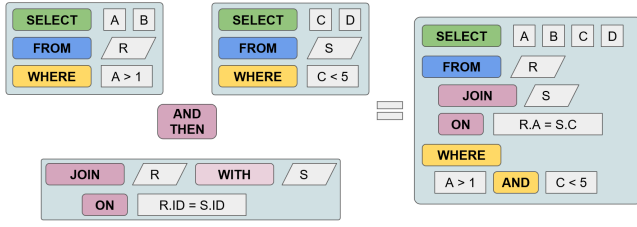


Figure 3: Query Unbundling

the individual unbundled queries in SpeakQL that perform the individual projections on  $R$  and  $S$  first before adding the equi-join part. (NB: We overload  $\pi$  for non-deduplicating project in bag semantics for brevity sake.)

$$\begin{aligned} & [\pi_{a,b}(R)] \& [\pi_{c,d}(S)] \& [R \bowtie_{P.id=S.id} S] \\ & \mapsto \pi_{R.a,R.b,S.c,S.d} (R \bowtie_{P.id=S.id} S) \end{aligned} \quad (1)$$

The above expression introduces two non-standard symbols to represent unbundled queries: square brackets  $[ ]$  encase individual unbundled query parts, while the double ampersand  $\&\&$  is the delimiter of the unbundled query parts, representing the AND THEN keyword or equivalent synonyms in SpeakQL. No other operations, say, relational algebra operations, are permitted in between the individual unbundled parts.

**3.4.2 Translating an Unbundled Query to SQL.** Our SpeakQL-to-SQL translator consolidates the unbundled query parts to produce a holistic valid SQL query. Due to space constraints, we present its precise algorithm in the appendix. We describe its basic workflow succinctly next.

First, column projections, function calls, and function arguments from all single-relation select-project unbundled parts are consolidated together into a single SELECT clause for the output SQL query. To avoid ambiguity in column names from different tables that are identical strings, every column reference in either a SELECT clause or WHERE clause that is not already in table-prefixed format (i.e., table.column) is prepended with its source table during the translation. This is shown in the examples above in which the translated SQL converts reference of column, say, “a” in SpeakQL to “R.a” in SQL. If the column reference already prefixes the table, our translator leaves it as is.

Second, selection predicates in the WHERE clauses of individual single-relation select-project query parts are consolidated into a single WHERE clause in the output SQL query using a boolean AND. A complex situation arises for a cross-table selection predicate within a boolean expression. In such cases, we only support *conjunctive* relationships between single-relation components of the overall boolean expression. That is, if a single-relation select-project query part contains multiple selections, these selections are encapsulated in parentheses prior to consolidation within the output SQL query. We currently do not support cross-table disjunctive predicates due to the additional complexity they add for speaking and anecdotally such cases being rarer in practice. As such, users always have the option of falling back on regular SQL syntax in SpeakSQL for such cases.

**Detailed Example.** Figure 3 illustrates how an unbundled SpeakQL query with two separate single-table WHERE clauses gets consolidated into a single SQL query. The relational algebra representation for both queries is given below.

$$\begin{aligned} & [\pi_{a,b}(\sigma_{a>1}(R))] \& [\pi_{c,d}(\sigma_{c<5}(S))] \& [R \bowtie_{P.id=S.id} S] \\ & \mapsto \pi_{R.a,R.b,S.c,S.d} (\sigma_{(a>1) \wedge (c<5)} (R \bowtie_{P.id=S.id} S)) \end{aligned} \quad (2)$$

**3.4.3 Selections Without Projections.** One tricky situation in unbundling arises when a selection predicate (WHERE clause) is applied to a relation from which no columns are returned, i.e., it has no SELECT clause. This can lead to an “odd” impulse for a query speaker when nothing is retrieved from a table despite it having its own unbundled query part. To handle this situation, we introduce the NOTHING keyword as a special token within the *selectExpression* parser rule in the SpeakQL grammar.

*SpeakQL:* FROM TABLE R SHOW ME a AND THEN GET NOTHING FROM S WHERE b = 2 and R.id=S.id

SQL: SELECT R.a FROM R, S WHERE R.b=2 and R.id=S.id

**3.4.4 Automatic Group By Aggregation.** To help reduce possible errors from incorrect GROUP BY clauses, we introduce the AUTOMATIC or AUTOMATICALLY keywords. The expression GROUP BY AUTOMATICALLY lets the translator infer the output SQL query’s GROUP BY clause directly from the SELECT clauses of the unbundled query parts.

*SpeakQL:* SELECT a AND THE SUM OF b FROM TABLE R AND THEN GET c and d FROM S AND THEN JOIN R WITH S on R.id=S.id AND THEN GROUP BY AUTOMATICALLY

SQL: SELECT R.a, SUM(R.b), S.c, S.d FROM R JOIN S on R.id=S.id GROUP BY R.a, S.c, S.d

**3.4.5 Verbosity vs. Brevity.** In general, unbundled queries may contain more tokens than their corresponding SQL query, which means they may take slightly more time than reading the pure SQL translation. So, the higher naturalness comes at the cost of higher verbosity. This is an explicit tradeoff we made based on the rationale that perhaps less “think time” may be needed for the “local-first” unbundled SpeakQL query than the “global” SQL query. We view this tradeoff as reasonable to both reduce the chance of semantic errors and raise the user’s overall query dictation experience.

**Longer Detailed Example.** The English prompt is as follows: “What is the average room seating capacity of rooms in buildings where the course with id ‘CSE 232’ has ever been offered?” The precise schema of this database is in the appendix.

*SpeakQL:* Get buildingname from building and then from the room table where floor equals 3 show me the average capacity and then get nothing from courseoffering where courseid equals quote CSE232 quote then join courseoffering with room on courseoffering dot roomid equals room dot id

*next join room with building on room dot buildingid equals building dot id*

*and then group by automatically*

```
SQL: SELECT buildingname, AVG(capacity)
      FROM courseoffering
      JOIN room ON courseoffering.roomid = room.id
      JOIN building ON room.buildingid = building.id
      WHERE (courseoffering.courseid = 'CSE232')
            AND (room.floor = 3)
      GROUP BY buildingname;
```

## 4 IMPLEMENTATION

### 4.1 Language Specification

SpeakQL’s grammar is defined by extending the modified Backus-Naur Form (BNF) of the MySQL grammar on the ANTLR GitHub repository [2, 25]. We use a subset of rules nested beneath the *querySpecification* parser rule, which are rules within the *dmlStatement* rule set. This is similar in size to the SQL subset supported in SpeakQL 1.0 [31]. While the majority of the MySQL grammar in this subset is reused as is, our major changes are within the *selectStatement* and *querySpecification* parser rules and their descendants.

**Grammar Extension Strategy.** Our general strategy is to add intermediate rules between terminal token nodes and their parent SQL parser rules in order to modularize the grammar in a way that enables the SpeakQL-to-SQL translator to manipulate the abstract syntax trees (ASTs). This results in deeper ASTs and may result in a tradeoff between modularity and performance. Since SpeakQL is meant for spoken querying, near-realtime performance is an important design factor. To combat performance degradation due to deeper ASTs, we pruned the source MySQL grammar to include only DML parse rules. Within the DML parse rules, we further pruned out rules that were unlikely to be used during speech dictation.

**Grammar.** Due to space constraints, the full SpeakQL grammar in BNF is given in the appendix. We present an excerpt of the grammar for the most complex feature of SpeakQL, query unbundling, in Figure 4. Symbols or tokens in double quotes mean the content enclosed is a terminal symbol. The grammar contains a subset of child and descendant rules relevant to the unbundling feature. *selExpr*, and *whereExpr* grammar descendants are described in additional figures in the appendix. The root query specification rule, abbreviated as *querySpec*, is the point at which queries that use the unbundling feature diverge.

Unbundled queries may lead with any of the three unbundled query part type rules—*multiJoinExpr*, *selModExpr*, or *unbdQryOrdSpc*—followed by the *exprDelim* rule that contains the *AND THEN*, *THEN*, and *NEXT* terminal tokens. Although unbundled and non-unbundled SpeakQL queries may share the parser rules that describe *SELECT* clauses and *WHERE* clauses, unbundled queries have distinct *FROM* clause rules. The *tabExprNoJoin* and *fromClsNoJoin*, as their names suggest, omit any possible join expressions. That ensures that individual unbundled query parts reference only one table and leaves the join operations to the *multiJoinExpr* rule and its descendants.

<code>&lt;qrySpec&gt; ::=</code>	<code>&lt;qryOrdSpec&gt; &lt;selModExpr&gt;</code> <code>  (&lt;multiJoinExpr exprDelim&gt;)? (&lt;selModExpr&gt; &lt;exprDelim&gt;)? &lt;ubndQryOrdSpc&gt; (&lt;exprDelim&gt; (&lt;ubndQryOrdSpc&gt;   &lt;multiJoinExpr&gt;)) (&lt;exprDelim&gt; &lt;selModExpr&gt;)?</code>
<code>&lt;ubndQryOrdSpc&gt; ::=</code>	<code>&lt;selExpr&gt; &lt;whereExpr&gt;? &lt;tabExprNoJoin&gt;</code> <code>  &lt;selExpr&gt; &lt;tabExprNoJoin&gt; &lt;whereExpr&gt;?</code> <code>  &lt;tabExprNoJoin&gt; &lt;selExpr&gt; &lt;whereExpr&gt;?</code> <code>  &lt;tabExprNoJoin&gt; &lt;whereExpr&gt;? &lt;selExpr&gt;</code>
<code>&lt;tabExprNoJoin&gt; ::=</code>	<code>&lt;frmClsNoJoin&gt;</code>
<code>&lt;frmClsNoJoin&gt; ::=</code>	<code>&lt;frmKW&gt; &lt;theKW&gt;? &lt;tblSrcNoJoin&gt;</code> <code>&lt;tblKW&gt;?</code>
<code>&lt;tblSrcNoJoin&gt; ::=</code>	<code>&lt;tblSrcLtm&gt;   "(" &lt;tblSrcLtm&gt; ")"</code>
<code>&lt;mltiJnExpr&gt; ::=</code>	<code>&lt;mltiJnPrt&gt; (&lt;exprDelim&gt; &lt;mltiJnPrt&gt;)*</code>
<code>&lt;mltiJnPrt&gt; ::=</code>	<code>&lt;mltiInnrJn&gt;   &lt;mltiOutJn&gt;   &lt;mltiNatJn&gt;</code>
<code>&lt;exprDelim&gt; ::=</code>	<code>"and then"   "then"   "next"</code>
<code>&lt;mltiInnrJn&gt; ::=</code>	<code>&lt;inJoinKW&gt;? &lt;joinKW&gt; &lt;tblSrcLtm&gt;</code> <code>&lt;withKW&gt; &lt;tblSrcLtm&gt; (&lt;onKW&gt; &lt;expr&gt;   "using" "(" &lt;uidLst&gt; ")")?</code>
<code>&lt;mltiOutJn&gt; ::=</code>	<code>&lt;joinDir&gt; &lt;outJoinKW&gt;? &lt;joinKW&gt;</code> <code>&lt;tblSrcLtm&gt; &lt;withKW&gt; &lt;tblSrcLtm&gt;</code> <code>(&lt;onKW&gt; &lt;expr&gt;   "using" "(" &lt;uidLst&gt; ")")</code>
<code>&lt;mltiNatJn&gt; ::=</code>	<code>&lt;natJoinKW&gt; (&lt;joinDir&gt; &lt;outJoinKW&gt;)? &lt;joinKW&gt; &lt;tblSrcLtm&gt;</code>
<code>&lt;withKW&gt; ::=</code>	<code>&lt;withKW&gt; &lt;tblSrcLtm&gt;</code> <code>"with"   "with table"   "and"</code>

Figure 4: SpeakQL Unbundled Query Grammar Excerpt

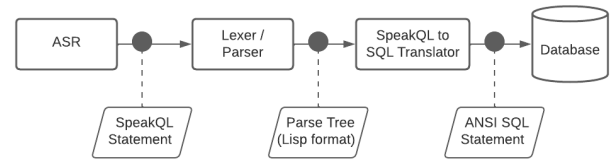


Figure 5: ASR to SpeakQL to SQL translation workflow.

### 4.2 SpeakQL to SQL Translation

Our translator takes a valid SpeakQL query as input and returns a semantically equivalent SQL query. Figure 5 shows its place in the overall workflow. The translator performs a series of steps that we summarize next. A more detailed discussion is provided in the appendix. The translator is implemented in a mix of Java and Python.

**Synonym Replacement.** In this step performs a scan search of all active nodes in the AST for rules that are a member of the keyword and delimiter categories for which SpeakQL synonyms exist.

This includes *selectKeyword*, *fromKeyword*, *selectElementDelimiter*, and more. When a keyword or delimiter rule is identified during the search, the translator performs an update on the rule node that replaces the terminal token representative of a SpeakQL synonym with its corresponding SQL keyword. Optional keywords such as THE and TABLE are identified in the same manner and removed from the AST.

**Clause Reordering.** This step includes reordering SELECT, FROM, WHERE, GROUP BY, ORDER BY, HAVING, and LIMIT clauses. The translator takes advantage of AST structures that guarantee that all children of the *queryOrderSpecification*, *unbundledQueryOrderSpecification*, and *selectModifierExpression* parser rule nodes are rules that require evaluation and reordering. Specifically, it is guaranteed that the query order clause parser rules will only contain the children *selectExpression*, *whereExpression*, and *tableExpression*. We also know that the *selectModifierExpression* has no more than four children of type *selectModifierItem*, each of which may have a single child of type *groupByClause*, *havingClause*, *orderByClause*, or *limitClause*. With these guarantees, the translator simply collects and reorders the AST’s parser rules’ children into the correct SQL clause order.

**Natural Function Transformation.** Translating natural functions to valid SQL function clauses involves locating and removing the optional keyword parser rules and inserting parentheses for all occurrences of the *noParenAggregateWindowedFunction* parse rule in the AST.

**Query Bundling.** This is a series of up to 5 steps to consolidate a set of unbundled query parts into a valid SQL query. The number of steps varies by query and is dependent on the presence of WHERE clauses, JOIN clauses, and aggregate function calls. The steps in order are:

- (1) Infer GROUP BY clause, if any.
- (2) Bundle SELECT clauses.
- (3) Bundle WHERE clauses, if any.
- (4) Bundle JOIN clauses, if any.
- (5) Bundle all tables, if more than one.

Due to space constraints, we defer more details on the implementation of bundling to the appendix.

## 5 USER STUDY

To evaluate the utility of the SpeakQL dialect, we perform an apples-to-apples comparative A/B user study of *dictating SpeakQL vs. dictating regular SQL*. Our goal is to understand the role of our *dialect’s features* on how efficiently one can dictate a syntactically valid query. So, we use a “Wizard of Oz” strategy to simulate a speech-based interface to allow us to focus only on the dialect’s role. We do *not* want to confound this evaluation with orthogonal factors such as interface specifics, auxiliary additional modalities such as touch, etc. Thus, our goal here is different from the user study conducted for the SpeakQL 1.0 system [31], which compared typing SQL on a tablet against speech+touch modality on their multimodal tablet interface. We leave it to future work to study how to integrate the SpeakQL dialect into such multimodal interfaces.

### 5.1 Study Objectives

**5.1.1 Research Questions and Hypotheses.** The user study is motivated by three main research questions. We also posit our hypothesis alongside each question.

*Q1: Effectiveness of alternate syntax.* To what extent, if any, do syntax synonyms and symbol reduction improve user experience during spoken querying?

*H1: Synonyms and reduction in special characters improve user experience.* We expect that syntax synonyms and avoiding the need to dictate special characters such as comma, parentheses, or asterisk can make the process feel more natural and reduce number of errors and time taken to dictate simple queries.

*Q2: Effectiveness of alternate ordering.* To what extent, if any, does relaxing structure through alternate clause ordering reduce chances of errors during spoken querying?

*H2: Alternate ordering reduces errors.* We expect that relaxing the ordering requirement among clauses can reduce number of ordering-related syntax errors and reduce the amount of time and number of attempts required to dictate a simple or complex query.

*Q3: Effectiveness of unbundling.* To what extent, if any, does unbundling a complex query into smaller single-relation parts reduce chances of errors during spoken querying?

*H3: Unbundling reduces burden on working memory.* We expect that unbundling a complex multi-table query into single-table query parts can reduce the speaker’s working memory burden, reduce chances of errors when speaking the whole query, and reduce the number of attempts to craft a fully correct query.

### 5.2 Study Protocol and Design

**Participants.** We recruited participants from UCSD academic programs that teach SQL and data analytics. Since the SpeakQL dialect is primarily aimed at data professionals who already know SQL (not lay users), we also required participants to be familiar with SQL. They had to complete a short SQL screening test. Those who passed the test were invited to join the user study and offered up to \$30 as compensation. It was structured as a flat rate of \$6 for joining and \$2 per query prompt completed (both SQL and SpeakQL conditions) for up to 12 query prompts.

**Managing the Learning Effect.** We applied a latin squares approach using counterbalancing to counteract the learning effect that is known to be inherent in within-subjects studies of two or more treatments [19]. Specifically, participants are divided into two groups: a SpeakQL-to-SQL group and a SQL-to-SpeakQL group. All participants in one group answered all 12 questions in one dialect first and then switch to the other dialect.

**Database Schema and Queries.** We use a 6-table university course database schema for our user study. It is a snowflake schema with a course offerings table at its center with three foreign keys referencing tables on courses, rooms, and terms. The course and room tables have foreign keys referencing tables on departments and buildings, respectively. For practice we created 3 realistic queries of

increasing complexity: a single-table project, a single-table aggregate, and a 3-table join. The study itself uses 6 simple and 6 complex queries, with all the simple queries being single-table queries, while the complex ones join between 2 and 5 tables each. Due to space constraints, we provide the full details of the schema and all queries (as prompts, SQL, and SpeakQL) in the appendix.

**Logistics.** Study sessions were conducted over Zoom with a simple browser-based web interface. The interface enables the speaker to see the database schema, receive query prompts, dictate queries through their device’s microphone, and see the live ASR transcription of their dictation. We use the state-of-the-art Whisper model for ASR [26]. The study administrator employed a separate “Wizard of Oz” control panel to evaluate correctness of the spoken query, to offer feedback in realtime (i.e., identify errors and ask for re-dictation if needed), to answer general questions on SQL or SpeakQL, and to manage the overall progression of the study session.

**Quantitative Analyses.** We evaluate performance using the following dependent variables: planning time (time from seeing query prompt to starting recording which accounts for schema review, note taking, questions, and verbal rehearsals), number of attempts till a fully correct query, completion time per attempt, and total completion time for all attempts. Independent variables are based on query prompt attribute and feature usage. Prompt attribute is the complexity of the correct SQL query, binarized as simple or complex (more details below). Feature usage determination is based on post-participation transcription of recordings and further analyses. We briefly explain both aspects next but due to space constraints, we present a more in-depth description in the appendix.

**Measuring Query Complexity.** We use a series of weighted criteria: number of relations, number of projection terms (columns, functions and constants in SELECT clause), number of functions, number of predicates (in WHERE clause), number of joins, and number of modifiers (GROUP BY, HAVING, ORDER BY, and LIMIT). We use these to derive both raw and standardized query complexity scores.

**Determining Feature Usage.** For each query attempt, we analyze feature usage post-hoc based on both the ASR transcription and the raw audio recordings. We used syntax-focused heuristics on the ASR output text to make this process easier for us, e.g., to check if unbundling was used, we performed a search for at least one “AND THEN” in the transcript and coded the attempt based on the presence or absence of that keyword.

**Study Session Overview.** Each session was for 90 minutes, and began with a 22 minute training video that provided an overview of SpeakQL features and usage examples. Following the video was a brief question and answer session and user interface demonstration. Participants then answered up to 15 prompts using both dialects (3 practice and 12 measured). They dictated in one dialect (SpeakQL or SQL) and then repeated the same queries in the same order for the other dialect. They were encouraged to use as many SpeakQL features as possible. The study administrator was available to answer questions about the schema or language syntax. The online context made a note taking prohibition unenforceable. As such, we deemed that a complete restriction would result in a temptation to

take notes covertly. Participants were advised that they *should* try to perform without using notes, but if they did, they were required to discard them after the first dialect to mitigate learning effect bias.

## 6 RESULTS AND DISCUSSION

Our recruiting efforts elicited 35 prospective participants, of which only 30 completed the SQL filtering test. Of those, 29 were invited to participate and 23 ultimately did. Data from one participant was omitted from analysis due to a violation of the note transfer policy. 19 participants completed all 12 prompts; 3 answered at least 7 prompts in both dialects before opting to end the session.

### 6.1 Quantitative Results and Hypotheses Tests

The plots in Figure 6 present the key quantitative results for each query: the distributions of the total time to finish each prompt, as well as the planning time for the first attempt, and the number of attempts till correct query. We explain these next.

**Feature Usage Impact.** Hypotheses H1 and H2 are feature-focused tests to analyze the impact of specific SpeakQL features. But since SpeakQL feature usage was optional, it turned out that participants did not consistently use many SpeakQL features across many prompts. Feature usage was also not consistent between participant groups (SpeakQL-first vs. SQL-first) and participants who had SQL as their first condition were less likely to use SpeakQL features as often as participants who had SpeakQL as their first condition. Due to this unexpected imbalanced voluntary usage rate for some features, we are unable to make any significant observations of feature usage effects on dependent variables.

**Planning Time.** Planning time is a part of H1 and H2. We analyzed total number of attempts to reach a correct query, as well as the planning time for the first attempt. We measure first attempt planning time as opposed to the planning time for the final attempt because our observation was that for second and third attempts, participants generally performed no additional planning. Thus, the time participants take to digest the prompt, analyze the schema, and plan the query is reflected within the first attempt planning time. Figure 6b shows the distribution for each query. The median planning time for simple queries ended up *longer* for SpeakQL than SQL: 38.5s vs. 31.5s, although this difference is not statistically significant ( $p = 0.14$ ). (The p-values are derived using the Mann-Whitney U Test.) For complex queries, the median planning time is *shorter* for SpeakQL than SQL: 66.0s vs. 72.0s, but again this difference is not statistically significant ( $p = 0.295$ ). We also compared these results for each individual query and find no statistically significant difference in median planning times for SpeakQL vs. SQL for any query (p-values between 0.07 and 0.48). The per-query statistics are listed in the appendix for completeness.

**Number of Attempts.** This is a part of all three hypotheses. Figure 6c shows the distributions. Overall, we do not see any statistically significant differences in either mean or median numbers of attempts between SQL and SpeakQL. But we observed that as queries become more complex, more second and third attempts are required. However, as the session progressed, first attempt correct answers increased, suggesting that participants gained a familiarity

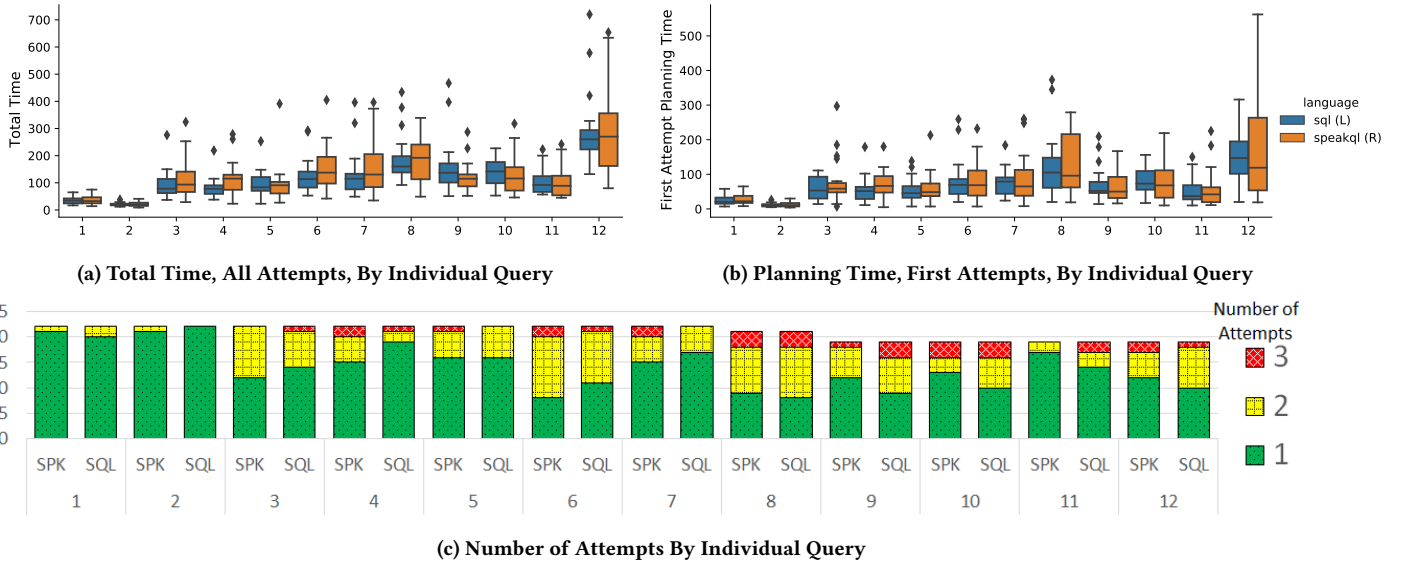


Figure 6: Quantitative results from the user study.

with the query dictation process and that counteracted the increasing query complexity. Additionally, we observe a slightly higher improvement advantage for SpeakQL over SQL from queries Q9 to Q12, i.e., the frequency of first attempt correct answers is higher for SpeakQL and that keeps going up.

## 6.2 Feature Usage and Usefulness

Analysis of feature usage shows varying levels of popularity for SpeakQL features. Since feature usage was optional, some participants relied more heavily on regular SQL syntax than others even for the SpeakQL condition. Figure 7a shows a significant disparity in popularity of the four main features. Natural functions are the most popular, followed by unbundling and synonyms; clause and modifier reordering are the least popular. Figure 7b shows the frequency of the self-reported reasons for not using a given feature. As expected, SQL familiarity is a top reason that dissuaded some participants from using SpeakQL features. In particular, clause/modifier reordering were not used due to that reason the most. Some also could not remember how to use unbundling or natural functions, perhaps due to their novelty.

We also asked participants who used a feature to rate its usefulness. Figure 8 shows the results for all features. These results reveal interesting nuances on the low-popularity synonym feature: participants preferred punctuation and join synonyms but they did not care for SELECT or FROM synonyms. The other ratings are consistent with the feature usage observations.

## 6.3 Qualitative Survey Feedback

**Thematic Analysis.** We categorized the survey feedback and sentiment into three thematic categories: positive, negative, and improvement suggestion. 13 participants provided at least one positive feedback. 9 provided at least one negative feedback. 5 provided at least one improvement suggestion. Table 2 lists the number of

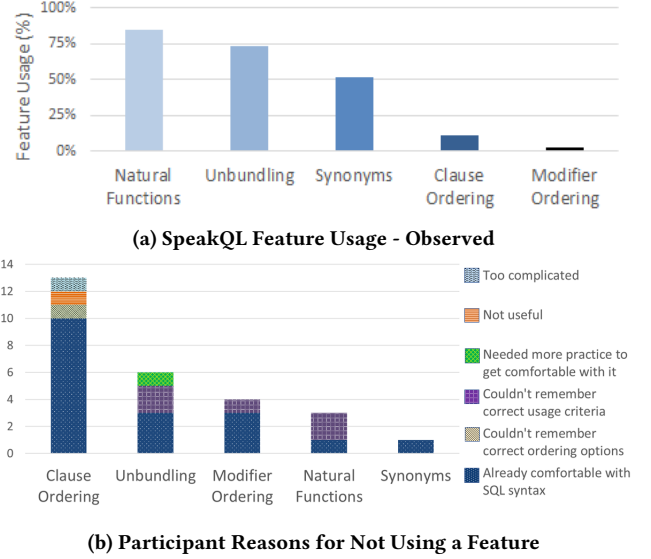


Figure 7: Feature Usage Observations and Avoidance Reasons

participants who gave each type of feedback, including the key content within each category.

**Positive Comments.** 8 participants made positive comments that were not feature-specific. These included general impressions of their experience using SpeakQL, a comment that using SpeakQL was easier than using SQL for dictation, and 4 comments that SpeakQL had a natural feel and was easy to use. We present some quote verbatim.



Figure 8: SpeakQL feature usefulness compared to SQL.

"SpeakQL definitely makes dictation of queries more natural without worrying a lot about the syntax (which would include the orders) and even the parentheses." - Participant 10.

What I like the most is that it is almost like thinking out loud. You just think about what you want to do, and say the query, which makes it way more convenient. - Participant 16.

Unbundling received the most feature-specific positive feedback, from 7 participants. They reported that unbundling required less planning time, feels faster, enables focus on one table at a time, makes complex queries easier, and is generally easy or useful.

"Since I did not have to worry about ambiguous column names, I could write the bundles separately faster and join them all later on." - Participant 19.

4 participants reported that natural functions were useful and easier to use than speaking SQL functions with parentheses. One participant said the modifier reordering was useful.

**Negative Comments.** The most common ones related to syntax difficulty, with 9 participants reporting dislike of different aspects of SpeakQL syntax. 4 participants reported difficulty with unbundling for complex queries. 3 reported that using the unbundling join syntax or non-unbundled queries that used join synonyms was difficult. One participant said that the GROUP BY AUTOMATICALLY feature was not intuitive and felt less natural. 5 participants had negative comments on the naturalness of some SpeakQL features. One said that SpeakQL actually gave them a false impression of naturalness that made it difficult to discern when a natural language-like statement was a valid SpeakQL query. Some participants specifically noted that there were too many synonyms. They said they were unsure of how expressive SpeakQL was and that the language had too much flexibility, with synonyms being unbalanced between expression types, or that it was too nuanced.

"[I] Was afraid of saying the incorrect synonym. Do we really need all these synonyms? How many do we need? Too many synonyms might create the perception of natural language which will cause them to create incorrect queries." - Participant 1.

7 participants said that they did not have enough time to gain enough familiarity with all the SpeakQL features and wanted more practice.

"Though complex query bundling was easier, it takes a lot of time to go from creating individual table queries, and then joins and then grouping them, and so because I was querying the columns from all the tables together first and then making all the joins in one go, I felt it a little inconvenient. However, I missed the fact that I can simply query 2 tables and join and then go to the next table would have been easier." - Participant 2.

**Improvement Suggestions.** Most comments focused on simple syntax improvements, including allowing "THE" before TABLE (e.g., FROM THE TABLE building GET buildingname), making generation of the GROUP BY expression fully automatic (i.e., without needing to speak GROUP BY AUTOMATICALLY), and adding RETRIEVE as an additional SELECT synonym. 4 participants also said they disliked dictating special characters such as quotes, commas, and parentheses and suggested a reduction of the need to speak these symbols, especially quotes.

	# Participants
<b>– Positive –</b>	<b>13</b>
General Positive Impressions	8
Positive Unbundling Impressions	7
Positive Natural Function Impressions	4
Positive Ordering Impressions	1
<b>– Negative –</b>	<b>9</b>
Syntax Difficulty	6
Unfamiliar Language, Needs Practice	7
Faux Natural Language	5
<b>– Improvement Ideas –</b>	<b>5</b>
Minimize Punctuation	4
Syntax Improvements	3

**Table 2: Thematic Category and Code Frequencies**

## 6.4 Discussion of Results and Implications

Overall, although we did not find any statistically significant gaps in quantitative metrics, we do find several encouraging pieces of evidence that SpeakQL, despite being a new and slightly more verbose dialect of SQL, takes comparable time to dictate but with a higher ease of use as per self-reported feedback. We also note that as the user study session progressed, user performance rose faster often for SpeakQL than SQL in terms of both planning time and number of attempts.

**Synonyms.** Although the simplest feature, they were only the third-most popular feature in the actual usage data. They also attracted the most negative feedback, with the crux being they caused more uncertainty about the valid keywords. We plan to drop some synonyms in future implementations of SpeakQL.

**Clause reordering.** This ended up the most non-used feature, mainly because participants had high enough familiarity with SQL to not need such reordering. But we plan to retain this feature as it did not elicit negative feedback.

**Natural functions.** This was the most popular feature and universally liked by participants. We plan to expand this feature to reduce the need to speak other special characters such as quotes around string literals in predicates.

**Unbundling.** This was the second most popular feature and it received majority positive feedback. Many participants were particularly enthusiastic about how unbundling enabled them to approach query formulation in a “stream of thought” manner that made speaking queries easier. We plan to retain this feature as is.

## 7 RELATED WORK

**Natural Language Interfaces.** Section 2.2 discussed NLIs in detail and their relationship with SpeakQL. In particular, note that while we envision future integration of SpeakQL with NLIs for databases, the primary focus of this paper is the new dialect for spoken querying, not building a new NLI. We describe how our features can help improve ease of use, while preserving a context free grammar and correct-by-construction guarantees of regular SQL. In contrast, NL-to-SQL and chat-based interfaces today are primarily aimed at NL typing-based interactions, which lack such correctness guarantees.

**Speech-Based Database Interaction.** EchoQuery [18] is a stateful query-by-voice system that allows users to interact with a relational database in a conversational manner. It only presents a tool demonstration though, without a rigorous grammar or thorough user study evaluation. It does use a speech-friendly dialect, but its syntax represents a subset of SQL expressiveness, e.g., joins are not explicitly defined at all and disjunctive predicates are not possible at all. In contrast, our work formalizes SpeakQL as a new dialect of SQL for spoken querying and defines its grammar. We extend a non-trivial subset of SQL and retain its full expressive power, including on joins and predicates, because SpeakQL subsumes that SQL subset. We also evaluate the ease of use of the SpeakQL dialect using a thorough A/B user study.

Our own prior work on SpeakQL 1.0 [31] is a speech+touch multimodal query interface that allows users to modify query intent using a novel SQL touch keyboard. SpeakQL 1.0 also targets a non-trivial subset of SQL. In contrast, SpeakQL 2.0 (this paper) proposes and evaluates a new dialect of SQL designed for spoken querying, not a new multimodal interface with touchscreen focus.

CiceroDB [33] uses Google Assistant to infer user query intent from NL statements and vocalizes query result sets using computer-generated voice. It is orthogonal to both the general goals of the SpeakQL line of work and this paper’s specific goals of a new speech-first dialect of SQL. One can use both SpeakQL and CiceroDB together to enable fully speech-based interactions with databases to both query data and to consume results.

**Spoken Programming as Assistive Technology.** Spoken programming systems have been considered and evaluated as assistive technologies for programmers with motor impairments. Much prior art in this space ended up as ultimately unconvincing due to weak ASR capabilities [5]. But the recent wave of highly accurate deep learning-based ASR has rekindled interest in this space. Usability interviews with motor impaired programmers suggested a promising future for an NL-based programming system that can avoid dictation of symbols and variables [23]. The SpeakQL dialect is inspired by a similar vision and our user study survey responses support a similar conclusion, viz., a desire for more natural-feeling constructs to avoid dictating symbols.

## 8 CONCLUSIONS AND FUTURE WORK

Motivated by the growing success of ASR-based interactions, this work takes a step toward more natural spoken structured querying for databases. We design and evaluate a prototype dialect of SQL we call SpeakQL that we believe represents a promising direction for improving speech-based access that preserves correct-by-constructions guarantees of SQL. Our user study suggests the utility of many of our features, while also offering avenues for refinement of other features. As for future work, we envision integrating the SpeakQL dialect into a fully fledged stateful and interactive system to allow users to conversationally clarify and refine their query. We will also study the interplay of SpeakQL-like features with “prompt engineering” constructs in emerging NL chatbots such as ChatGPT. Finally, we also plan to study the use of SpeakQL as an intermediate representation in NL-to-SQL translations to enable user to offer feedback on translation correctness by being in the loop.

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## A BACKGROUND

### A.1 SQL Grammar

All SQL grammars include syntax rules for both *data definition language* (DDL) and *data manipulation language* (DML) statements. DDL statements are intended to enable specification of data structure; and DML statements enable data access and update functions [3]. Data analysts, informaticists, and other data consumers generally make use of DML statements to fulfill data requirements. DDL statements are generally expressed by database administrators and software developers responsible for designing, implementing, and maintaining data models within database management systems.

## B DIALECT

### B.1 Synonyms

Keyword synonyms are listed in Figure 9.

### B.2 Natural Functions

Natural function syntax and examples are portrayed in Figure 10.

### B.3 Unbundling

#### B.3.1 Additional Unbundling Example.

*Query Prompt.* Find the titles of all courses offered in terms with the year 2022.

*SpeakQL.* **join the term table with the room table on term dot id equals courseoffering dot termid**  
**and then join the courseoffering table with the course table on courseoffering dot courseid equals course dot id**  
**and then show me title in the course table**  
**and then from room where floor equals 3 select average capacity**  
**and then get nothing from term where year equals 2022**

```
SQL: Select title from course
      join courseoffering
        on course.id = courseoffering.courseid
      join term
        on courseoffering.termid = term.id
      where year = 2022;
```

## C IMPLEMENTATION

### C.1 Grammar

Figures 19 and 18 are excerpts of SpeakQL and SQL select statement grammar in a modified BNF format. The '?' symbol appended to a rule indicates that the rule is optional. The '\*' symbol indicates that 0 to many occurrences of a rule are allowed. Symbols or tokens surrounded by double quotes indicate that the content enclosed within the quotes is a terminal symbol. Both figures contain only a subset of child and descendant rules and both are limited to two levels of depth below the *selectStatement* rule, and certain SQL rules including *union*, *window*, and *into* are omitted for the sake of brevity. Figure 20 is an example of a child expression to the SpeakQL select statement grammar defined in figure 19 and is provided as an example implementation of the extension strategy that facilitates SpeakQL to SQL translation. Additional examples are available in this paper's corresponding technical report.

### C.2 SpeakQL to SQL Translation

The translator performs translation through a series of steps. The first step takes a valid SpeakQL query as input, where correctness is determined upstream of this task, and sends it to the Java-based SpeakQL parser via an HTTP post request. The parser responds with a Lisp-formatted abstract syntax tree AST that the Python-based translator transforms into an editable AST. The translator performs operations on the SpeakQL AST in order to transform it into a valid SQL query which include finding and replacing SpeakQL synonyms with SQL syntax, reordering expressions, reordering modifier items, and transforming unbundled queries into a single SQL select statement. The unbundling transformation step includes additional sub-steps including inference of a group by expression, consolidation of select elements (i.e. columns, functions, and scalars), consolidation of where statements, consolidation of join expressions, and finally consolidation of any table sources not included within join expressions.

### C.3 Parser Performance

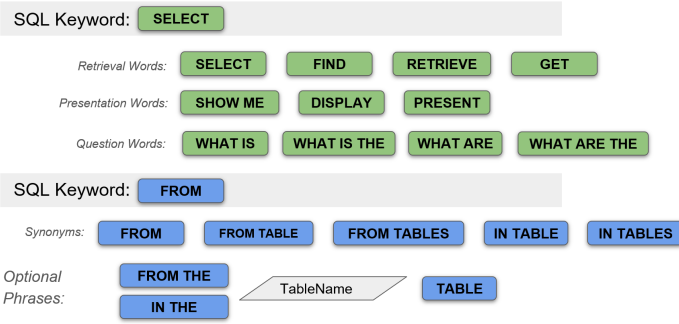
A comparison of the SQL and SpeakQL grammar implementations reveals that SpeakQL feature implementation results in deeper trees with additional branches. Naturally, this increase in complexity will result in a corresponding increase in time required to parse a query. A performance comparison between three parsers, a MySQL grammar-based parser, a full SpeakQL parser that extends the entire MySQL grammar, and a simple SpeakQL parser that extends only a DML subset of the MySQL grammar was conducted to validate assumptions about parser performance improvements for smaller grammars.

We measured parse time for each parser using a set of 8,946 SQL queries (see Figure 11). The full SpeakQL parser, on average, exhibited a 5.9x *slowdown* compared to the MySQL parser, suggesting that the modularity-based rule extensions negatively impact parser performance. On the other hand, the simple SpeakQL parser extending only a DML subset of MySQL rules exhibited a 2.6x *speedup* compared to the MySQL parser and a 15.1x *speedup* compared to the full SpeakQL parser. This performance increase is achieved by sacrificing certain SQL features including *window* and *union*. This rule omission is mitigated using a fallback strategy where SpeakQL queries identified by the translator as containing syntax not covered by the Simple SpeakQL parser are passed back to the full SpeakQL parser for processing.

### C.4 SpeakQL to SQL Translation

*Translating Unbundled Queries.* The translator uses the same approach for each bundling step, which is to identify the first expression parser rule node in the AST for a given expression and use it as a migration target for additional expression parser rule nodes that may exist in the AST. For example, if a query contains two separate unbundled select-from-where queries connected with a join query, the translator will designate the first of the two *selectExpression* parser rules in the AST as *selectExpression* and will migrate the *selectElements* that are children of the second *selectExpression* rule node to become children of *selectExpression*. Parser rule node migration is accomplished by appending the migrated parser rule

## Syntax Keyword Synonyms and Phrases



## Syntax Keyword Synonyms and Phrases

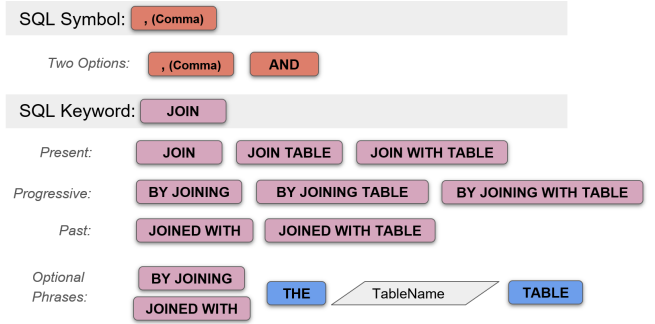
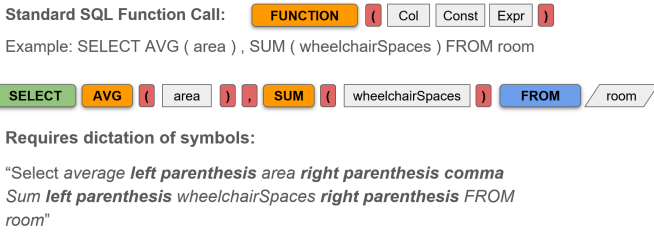


Figure 9: SpeakQL Synonyms

## SQL Functions



## SpeakQL Natural Functions

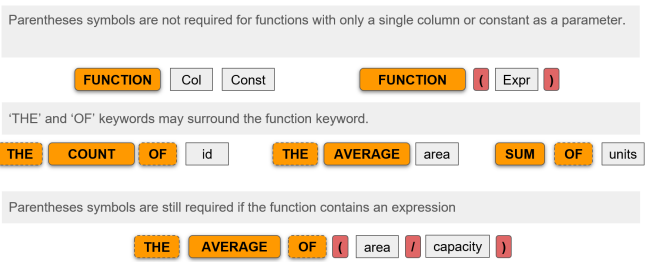


Figure 10: Natural Functions

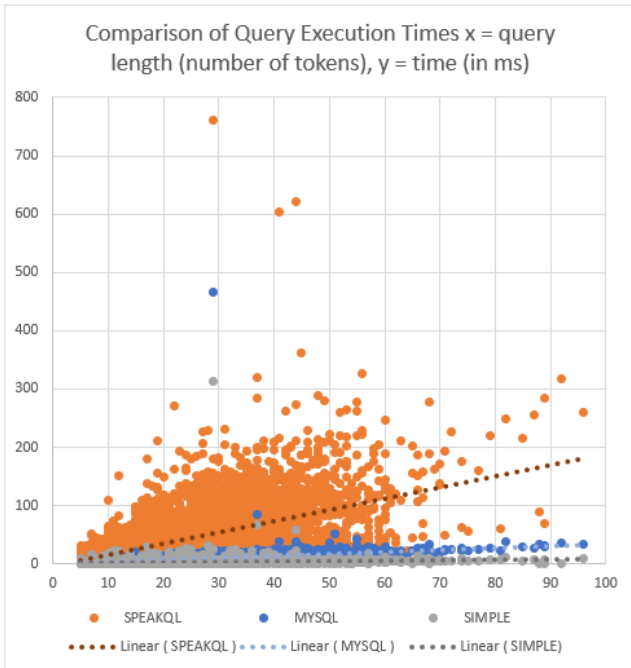


Figure 11: Grammar Parser Performance Comparison

## Algorithm 1 Find and Replace Synonyms

```

speakQLAst ← parseSpeakQL(query)
synonymKWRuleSet ← {selectKW, fromKW, joinKW, ...}
syntaxSugarRs ← {theKW, tableKW, ofKW, isKW}
synonymHashMap ← {(selectKW : SELECT), (fromKW : FROM), ...}
for all nodes such that node ∈ speakQLAst do
  if node.rule ∈ synonymKWRuleSet then
    node.replaceKW(synonymHashMap[node.rule])
  end if
  if node.rule ∈ syntaxSugarRs then
    speakQLAst.removeNode(node)
  end if
end for

```

node's ID to the migration target rule node's child list and removing the migrated parser rule node's ID from the migration source rule node's child list.

*Inferring the group by expression.* A feature of the SpeakQL translator is its ability to infer a group by expression using the presence of aggregator functions and column references within multiple *selectExpression* parser rules. A user can instruct the translator to perform group by expression inference using the *group by automatically* keyword within either a single-table SpeakQL query or a multi-table unbundled query.

---

**Algorithm 2** Reorder Select, From and Where Expressions

---

```
speakQLAst ← parseSpeakQL(query)
for all ruleNodes such that ruleNode ∈ speakQLAst do
  if ruleNode == qryOrdSpec then
    expr ← ruleNode.children
    reorderedExpr ← [∅]
    reorderedExpr.append(expr.selExpr)
    reorderedExpr.append(expr.tabExpr)
    reorderedExpr.append(expr.whereExpr)
    ruleNode.children ← reorderedExpr
  end if
end for
```

---

---

**Algorithm 3** Reorder Select Modifier Items

---

```
speakQLAst ← parseSpeakQL(query)
for all ruleNodes such that ruleNode ∈ speakQLAst do
  if ruleNode == selModExpr then
    reorderedItms ← [∅]
    grpByCls ← ruleNode.getChildByRule(grpByCls)
    havingCls ← ruleNode.getChildByRule(havingCls)
    ordByCls ← ruleNode.getChildByRule(ordByCls)
    limitCls ← ruleNode.getChildByRule(limitCls)
    reorderedItms.append(grpByCls)
    reorderedItms.append(havingCls)
    reorderedItms.append(ordByCls)
    reorderedItms.append(limitCls)
    selModExpr.children ← reorderedItms
  end if
end for
```

---

---

**Algorithm 4** Natural Function Transformation

---

```
speakQLAst ← parseSpeakQL(query)
syntaxSugarRs ← {theKW, ofKW}
for all ruleNode ∈ speakQLAst.nodesWithName(natFun) do
  for all child ∈ ruleNode.children do
    if child.rule ∈ syntaxSugarRs then
      speakQLAst.removeNode(child)
    end if
  end for
  functionArgs ← ruleNode.getChildWithName(funArgs)
  speakQLAst.surroundNodeWithParens(functionArgs)
end for
```

---

When the translator encounters the *group by* automatically instruction, it proceeds as depicted in algorithm 6. It makes use of AST helper functions *nodeWithName* which returns a list of all nodes with a given rule name, and *getAllTablesAndElements* which returns a Python dictionary ( $\{ \text{table name} : [ \text{selectElements} ] \}$ ) that contains a list of select elements and function calls for each table referenced within the SpeakQL query. The translator uses the existing *groupByExpression* parser rule node that contains the *automaticGroupByKeyword* parser rule and replaces the *automaticGroupByKeyword* rule with a *groupByItems* parse rule. The *groupByItems* parse rule node serves as the parent node for individual *groupByItem*

---

**Algorithm 5** Transform Unbundled Query (High Level)

---

```
speakQLAst ← parseSpeakQL(query)
Require:  $\exists \text{unbndQryOrdSpc} \in \text{speakQLAst}$ 
speakQLAst.inferGroupBy()
speakQLAst.bundleSelectElements()
speakQLAst.bundleWhereStatements()
speakQLAst.bundleJoinParts()
speakQLAst.bundleTables()
for all ruleNodes such that ruleNode ∈ speakQLAst do
  if ruleNode ∈ {qryOrdSpec, multQryOrdSpec, exprDelim} then
    speakQLAst.removeNode(ruleNode)
  end if
end for
```

---

---

**Algorithm 6** Unbundled Function: Infer GroupBy

---

```
speakQLAst ← parseSpeakQL(query)
Require:  $\exists \text{grpByCls} \in \text{speakQLAst} \wedge \exists \text{autoMtcKW} \in \text{speakQLAst}$ 
autoKws ← speakQLAst.nodesWithName(autoMtcKW)
for all autoKW ∈ autoKws do
  speakQLAst.removeNode(autoKW)
end for
gbNode ← speakQLAst.nodeWithName(grpByCls)
elmtsByTbl ← speakQLAst.getAllTablesAndElements()
gbItms ← [∅]
for all selElmt ∈ elmtsByTbl do
  if selElmt is column then
    gbItems.append(selElmt)
    gbItems.append(delim)
  end if
end for
gbNode.children ← gbItms
```

---

and *groupByItemDelimiter* nodes generated from non-function *selectElement* nodes registered in the table-element python dictionary. Because the *groupByItems* parse rule already exists beneath the *selectModifierExpression* parse rule, no further AST transformations are required; and the group by inference operation is complete after the generation of the *groupByItems* parse rule and its children *groupByItem* and *groupByItemDelimiter* parse rules.

*Bundling select elements.* Select element bundling is a required step for any SpeakQL query that makes use of the unbundling feature. Because any SpeakQL query that uses unbundling must have at least two *selectExpression* parse rules, select element bundling is a mandatory step of the bundling process.

As shown in algorithm 7 as *selElmtsRLNd*, which abbreviates *selectElementsRuleNode*, the translator uses the first *selectElements* parser rule as a migration target for all other select elements within the SpeakQL query. Also depicted in the same algorithm is a preemptive method for avoiding ambiguity where the translator prepends each migrated select element with its associated table, resulting in a dotted id in the format *tableName.columnName*. This step is

---

**Algorithm 7** Unbundled Function: bundleSelectElements

---

```

speakQLAst ← parseSpeakQL(query)
for all ruleNode ∈ speakQLAst.nodesWithName(nothingElmt)
do
  ruleNode.rename(selElmt)
end for
elmtsByTbl ← speakQLAst.getAllTablesAndElements()
selElmtsRlnd' ← speakQLAst.nodesWithName(selElmts)[0]
newChildren ← [∅]
for all tblItm ∈ elmtsByTbl do
  for all selectElmt ∈ elmtsByTbl[tblItm] do
    newChildren.append(tblItm.selectElmt)
  end for
end for
selElmtsRlnd'.children ← newChildren
for all ruleNode ∈ speakQLAst.nodesWithName(selElmts)
such that ruleNode! = selElmtsRlnd' do
  speakQLAst.removeNode(ruleNode)
end for
```

---

performed for both standalone column references and column references as arguments within function expressions. After iterating through each *selectElementExpression* parser rule and migrating their associated *selectElements* children to *selectElementsRuleNode*', a cleanup operation removes them from the AST leaving a single *selectElementExpression* in the AST that contains all column and function references present in the original SpeakQL unbundled query.

*Bundling where expressions.* The where expression bundling step is optional, and is only invoked if at least one bundled query expression contains a *whereExpression* parse rule. When performing where expression bundling, the SpeakQL translator makes the following assumptions:

- All cross-table predicates are conjunctive (and)
- Multiple predicate expressions within a single unbundled query should be encased in parentheses before consolidation

Given these assumptions, where expression bundling proceeds as depicted in algorithm 8.

Similar to the select element bundling step, the first occurrence of a *whereExpression* parse rule, *whereExpression*', within the query AST and designates it as the target for additional *whereExpression* parse rule migration. Because where expressions are optional within *selectStatement* parser rules, it is possible that *whereExpression*' is not a member of the first *selectStatement* parse rule, *selectStatement*', in the query. Because of this possibility, the translator may perform an additional migration step where it migrates *whereExpression*' to become a child of *selectStatement*'.

The translator takes advantage of the recursive nature of the where expression grammar (see figure ??) by designating where expressions that exist in *selectStatement* parse rules that are not *selectStatement*' as children of *whereExpression*'. During this migration process, the translator employs helper functions to surround migrated expressions with *andKeyword* parser rules. The end result

---

**Algorithm 8** Unbundled Function: bundleWhereStatements

---

```

speakQLAst ← parseSpeakQL(query)
Require: ∃whereExpr ∈ speakQLAst
unbdlExprs ← speakQLAst.nodesWithName(unbdlQryOrdSpc)

unbdlExpr' ← unbdlExprs[0]
whereExpr' ← unbdlExpr'.nodeWithName(whereExpr)
for all exprNode ∈ unbdlExprs[1:] do
  tblName ← exprNode.nodeWithName(tblName)
  exprWhereExpr ← exprNode.nodeWithName(whereExpr)

  exprWhereKw ← exprWhereExpr.nodeWithName(whereKW)

  exprWhereExpr.removeNode(exprWhereKw)
end for
```

---

of this process is a single cross-table conjunctive *whereExpression* parser rule that contains all other *whereExpression* parser rules specified in other unbundled queries within the SpeakQL query.

*Bundling join parts.* Join part bundling collects all *multiJoinExpression* parse rules and aggregates them in an arbitrary order to form a valid SQL join expression. Join part bundling is an optional feature because an alternate form of relation joining where all table sources are specified within *fromExpression* parse rules, and join conditions between each table source are specified within *whereExpression* predicates (e.g. *from table one, table two where one.id = two.id*), is also possible. Currently, because join order in the resulting SQL query is arbitrary within the bounds of its implementation rules, join part bundling is limited to allowing only inner joins. Queries that require outer join expressions may still be expressed using other SpeakQL features; but may not employ the unbundling feature. Outer join capability is a future SpeakQL feature development objective.

The join part bundling process begins when the translator creates a list of all *multiJoinExpression* parse rule nodes within the AST. Given this list, it performs iterative analysis on each expression to determine if a subquery exists as a table item within any of the *multiJoinExpression* nodes, and if so, substitutes the subquery with a subquery masking rule and alias in the join expression. The translator then checks the left and right table reference parse rules within the join expression to determine if an alias exists for each table, or if the table is referenced in the join expression by its alias. If an alias association is encountered, it creates *asKeyword* and *multiJoinTableAlias* parse rules and adds them as children to the target *joinExpression* parser rule that represents the objective SQL-correct join expression.

After analyzing all *multiJoinExpression* and making modifications toward SQL-correct syntax, the translator begins the join consolidation process by designating the first table referenced in the queries first *selectStatement*' as the base table upon which the SQL-correct join statement will be built. In order to ensure valid join expression chaining, the translator determines a table join order that ensures that each subsequent table added to a join expression references a table in its join condition that already exists within the objective join expression. In other words, while building

the SQL-correct join expression, a *multiJoinExpression* will not be added to the target *joinExpression* until all tables referenced in its join condition have been added to the target *joinExpression*. The process will continue iterating over remaining *multiJoinExpressions* until the table existence constraint can be satisfied and all expressions have been added to the objective SQL-correct expression, or it is determined that *multiJoinExpressions* exist in the SpeakQL query that cannot be chained and the translator responds with an error condition. The translator then performs an additional safety check to ensure that all tables referenced in the query's *unbundledQueryOrderSpecification* parser rules are also referenced in a corresponding *multiJoinExpression* parser rule, and throws an error if a violation is encountered.

After the join bundling process is completed, all join expressions consolidated within a single *multiJoinExpression* are migrated to the first *tableExpressionNoJoin* parse rule. The *tableExpressionNoJoin* parse rule name is then updated to *tableExpression* and becomes a valid SQL from + join expression; and join bundling is complete.

**Bundling table expressions.** The final step of the bundling process involves collection of all *tableExpressionNoJoin* parse rule nodes within the AST. This is only required in cases where an unbundled query contains multiple table references but has no associated *multiJoinExpression* parser rules. In this case the first *tableExpressionNoJoin*, designated as *tableExpressionNoJoin'*, is established as the expression migration target, and additional *tableExpressionNoJoin* rules that exist as children of additional *selectStatement* rules are added to *tableExpressionNoJoin'*. If a where expression exists in any (selectStatement) that defines a join condition between two tables within the query, the expression consolidation occurs during the previously described where expression bundling step. If no where condition is defined between two tables within the query, the translator still performs table expression bundling, and the result is a SQL statement that produces a cartesian product of the two tables.

**Syntax tree cleanup.** After all relevant bundling steps are complete, the translator performs syntax tree cleanup by removing parse rules from which their child sub expressions have been migrated. Upon completion of tree cleanup, the SpeakQL to SQL translation process is complete, and serialization of the abstract syntax tree's terminal nodes results in a valid SQL statement equivalent to the input SpeakQL query.

## D USER STUDY

### D.1 Initial Design and Protocol

**D.1.1 Quantitative Analysis.** We evaluated performance using the dependent variables:

- Total-Time-to-Completion: Lower is better. Total time (in seconds) from when the participant is presented with the question prompt to when they press the submit button for their final query submission.
- First Attempt Planning Time: Lower is better. Time (in seconds) from when the participant is presented with the question for the first time to when they press the record button.

<selExpr> ::=	<selKW> <selSpec>* <selElmts>
<selKW> ::=	"select"   "find"   "retrieve"   "get"   "show me"   "display"   "present"   "what is"   "what is the"   "what are"   "what are the"
<tabExpr> ::=	<frmKW> <tblSrcs>
<frmKW> ::=	"from"   "from table"   "from tables"   "in table"   "in tables"
<joinKW> ::=	"join"   "join table"   "by joining"   "by joining table"   "joined with"   "join with"   "joined with table"   "join with table"   "by joining with table"
<onKW> ::=	"on"
<theKW> ::=	"the"
<tblKW> ::=	"table"

Figure 12: SpeakQL Synonym Keywords Grammar Excerpt

<qrySpec> ::=	<qryOrdSpec> <selModExpr>   (<multiJoinExpr exprDelim>)? (<selModExpr> <exprDelim>)? <ubndQryOrdSpec> (<exprDelim> (<ubndQryOrdSpec>   <multiJoinExpr>)) (<exprDelim> <selModExpr>)?
<ubndQryOrdSpec> ::=	<selExpr> <whereExpr>? <tabExprNoJoin>   <selExpr> <tabExprNoJoin> <whereExpr>?   <tabExprNoJoin> <selExpr> <whereExpr>?   <tabExprNoJoin> <whereExpr>? <selExpr>
<tabExprNoJoin> ::=	<frmClsNoJoin>
<frmClsNoJoin> ::=	<frmKW> <theKW>? <tblSrcNoJoin> <tblKW>?
<tblSrcNoJoin> ::=	<tblSrcItm>   "(" <tblSrcItm> ")"
<mltiJnExpr> ::=	<mltiJnPrt> (<exprDelim> <mltiJnPrt>)*
<mltiJnPrt> ::=	<mltiInnrJn>   <mltiOutJn>   <mltiNatJn> "and then"   "then"   "next"
<exprDelim> ::=	<inJoinKW>? <joinKW> <tblSrcItm> <withKW> <tblSrcItm> (<onKW> <expr>   "using" "(" <uidLst> ")")?
<mltiOutJn> ::=	<joinDir> <outJoinKW>? <joinKW> <tblSrcItm> <withKW> <tblSrcItm> (<onKW> <expr>   "using" "(" <uidLst> ")")
<mltiNatJn> ::=	<natJoinKW> (<joinDir> <outJoinKW>)? <joinKW> <tblSrcItm> <withKW> <tblSrcItm> "with"   "with table"   "and"
<withKW> ::=	

Figure 13: SpeakQL Unbundled Query Grammar Excerpt

<whereExpr> ::=	<whereKW> <expr>
<whereKW> ::=	"where"
<expr> ::=	("not"   "!" ) <expr>   <expr> <logicOp> <expr>   <predicate> "is" "not"? ("true"   "false"   "unknown")   <predicate> "and"   "xor"   "or"
<logicOp> ::=	<predicate> "not"? "is" "in" <leftParen> <selStmt> <rightParen>   <predicate> "is" "not" "null"   <predicate> <compareOp> <predicate>   <predicate> <compareOp> ("all"   "any"   "some") <leftParen> <selStmt> <right- Paren>   <predicate> "not"? "between" <predi- cate> "and" <predicate>   <predicate> "not"? "like" <predicate>   (expressionAtom)

**Figure 14: SpeakQL Where Expression Grammar Excerpt**

<tabExpr> ::=	<frmKW> <tblSrcs>
<frmKW> ::=	"from"   "from table"   "from tables"   "in table"   "in tables"
<tblSrcs> ::=	<theKW>? <tblSrc> <tabKW>? (<delim> <theKW>? <tblSrc> <tblKW>?)*
<tblSrc> ::=	<tblSrcItm> <joinPart>*
<tblSrcItm> ::=	"(" <tblSrcItm> <joinPart> ")"   "(" <slctStmt> ")"   <tblName> <tblAlias>?   "(" <tblSrcs> ")"
<joinPart> ::=	<inJoin>   <outJoin>   <natJoin>
<inJoin> ::=	<inJoinKW>? <joinKW> <tblSrcItm> (<onKW> <expr>) "inner"   "cross"
<outJoin> ::=	<joinDir> <outJoinKW>? <joinKW> <tblSrcItm> (<onKW> <expr>)
<joinDir> ::=	"left"   "right"
<outJoinKW> ::=	"outer"
<natJoin> ::=	<natJoinKW> (<joinDir> <out- JoinKW>)? <joinKW> <tblSrcItm> "natural"
<natJoinKW> ::=	"join"   "join table"   "by joining"   "by joining table"   "joined with"   "join with"   "joined with table"   "join with table"   "by joining with table"
<joinKW> ::=	"join"
<onKW> ::=	"on"
<theKW> ::=	"the"
<tblKW> ::=	"table"

**Figure 15: SpeakQL Table (From) Expression Grammar Excerpt**

<selModExpr> ::=	<selModItm>? <selModItm>? <selMod- Itm>? <selModItm>?
<selModItm> ::=	<grpByCls>   <havingCls>   <orderBy- Cls>   <limitCls>
<grpByCls> ::=	<grpByKW> <grpByItm> (<delim> <grp- ByItm>)* ("with" "rollup")?
<grpByKW> ::=	"group by"   "group"
<grpByItm> ::=	<grpByExpr> <order>   <autoMtcKW>
<autoMtcKW> ::=	"automatic"   "automatically"
<grpByExpr> ::=	"not" <grpByExpr>   <predicate> "is" "not"? ("true"   "false"   "unknown")   "predicate"   "and"
<delim> ::=	" "   "and"
<havingCls> ::=	<havingKW> <expr>
<havingKW> ::=	"having"
<ordrByCls> ::=	"order by" <ordrByExpr> (<delim> <ord- drByExpr>)
<ordrByExpr> ::=	<expr> <order>?
<order> ::=	<ascKW>   <descKW>
<ascKW> ::=	"asc"   "ascending"
<descKW> ::=	'desc'   'descending'
<limitCls> ::=	'limit' <limitClsAtm>
<limitClsAtm> ::=	(decimalLiteral   simpleId)

**Figure 16: SpeakQL Select Modifier Expression Grammar Excerpt**

<funCall> ::=	<aggrFun>   <noParAggrFun>   <nonAg- grWinFun>   <scrFunNam>
<aggrFun> ::=	<theKW>? ("avg"   "average"   "max"   "min"   "sum") <ofKW>? "(" ("all"   "dis- tinct")? <funArg> ")"   <theKW>? "count" <ofKW>? "(" ("*"   "all"? <funArg>   "distinct" <funArg> ) ")" ("std"   "std dev"   "std dev pop"   "std dev samp"   "var pop"   "var sample"   "vari- ance") "(" "all"? <funArg> ")" "group concat" "(" "distinct"? <funArg> ("order by" <ordrByExp> (<delim> <ord- ByExp>)* ) ")" (<constant>   <colName>   <funCall>   <expr>) (<delim> (<constant>   <col- Name>   <funCall>   <expr>))*
<noParAggrFun> ::=	<theKW>? ("avg"   "average"   "max"   "min"   "sum") <ofKW>? ("all"   "dis- tinct")? (<constant>   <colName> )   <theKW>? "count" <ofKW>? ("*"   "all"? <funArg>   "distinct" (<constant>   <col- Name>))

**Figure 17: SpeakQL Natural Function Expression Grammar Excerpt**

<selStmt> ::=	<qrySpec>
<qrySpec> ::=	"select" <selSpec>* <selElmts> <fromCl>? <grpByCl>? <havingCl>? <ordrByCl>? <limitCl>?
<fromCl> ::=	("from" <tblSources>?) ("where" <expression>)?
<grpByCl> ::=	"group by" <grpByItm> ("," <grpByItm>)?
<havingCl> ::=	"having" <expression>
<ordrByCl> ::=	"order by" <ordrByExpr> ("," <ordrByExpr>)
<ordrByExpr> ::=	<expression> ("asc"   "desc")
<limitCl> ::=	"limit" (<limitClsAtom> ";")? <limitClsAtom>   "limit" <limitClsAtom> "offset" <limitClsAtom>

**Figure 18: SQL Select Statement Grammar Excerpt**

<selStmt> ::=	<qrySpec>
<qrySpec> ::=	<qryOrdSpec> <selModExpr>   (<multiJoinExpr> <exprDelim>)? (<selModExpr> <exprDelim>)? <ubndQryOrdSpc> (<exprDelim> (<ubndQryOrdSpc>   <multiJoinExpr>)) (<exprDelim> <selModExpr>)?
<qryOrdSpec> ::=	<selExpr> <whereExpr>? <tabExpr>   <selExpr> <tabExpr> <whereExpr>?   <tabExpr> <selExpr> <whereExpr>?   <tabExpr> <whereExpr>? <selExpr>
<ubndQryOrdSpc> ::=	<selExpr> <whereExpr>? <tabExprNoJoin>   <selExpr> <tabExprNoJoin> <whereExpr>?   <tabExprNoJoin> <selExpr> <whereExpr>?   <tabExprNoJoin> <whereExpr>? <selExpr>

**Figure 19: SpeakQL Select Statement Grammar Excerpt**

<selExpr> ::=	<selKW> <selSpec>* <selElmts>   <selKW> <nothingElmt>
<selKW> ::=	"select"   "find"   "retrieve"   "get"   "show me"   "display"   "present"   "what is"   "what is the"   "what are"   "what are the"
<selSpec> ::=	"all"   "distinct"   "distinctrow"
<selElmts> ::=	("*"   <selElmt>) (<delim> <selElmt>)*
<delim> ::=	","   "and"
<selElmt> ::=	"*"   <colName>   <funCall>
<nothingElmt> ::=	<nothingKW>
<nothingKW> ::=	"nothing"

**Figure 20: SpeakQL Select Expression Grammar Excerpt**

- Dictation (recording) Time: Lower is better. Time (in seconds) from when the participant presses the record button to when they press the stop button.
- Number of Attempts: Lower is better. Discrete (1, 2, 3) indicating how many attempts the participant made to form a correct query.

And the independent variables:

- Query Complexity: Continuous positive real number derived from the presence and quantity of columns, tables, joins, functions and predicates.
- Query is Complex: Discrete (0, 1) simpler method for indicating if a query is complex. Based on complexity threshold defined below.
- Query Attributes: Number of projections, number of tables, number of selections, number of joins, and number of modifiers required to answer the question.
- Features Used: One-hot encoded vector representing the SpeakQL features actually used by a participant for a given SpeakQL query.

*D.1.2 Determining Query Complexity.* Query complexity was defined using a set of weights associated with query attributes including number of tables and joins, number of column references, number of function calls, and number of modifiers (i.d. limit, order by, etc.).

$$WeightedScore = \sum_{n=1}^n AttrCt_n * AttrWt_n$$

$$Standardize(WeightedScore) = \frac{WeightedScore - \mu_{Scores}}{\sigma_{Scores}}$$

$$Complexity = Standardize(WeightedScore)$$

Where  $AttrWt$  is a vector length  $n$  of weights (see Table 3) associated with values in vector  $AttrCt$  of length  $n$  and the standardization function computes a value between -1 and 1 using the complexity score of a query minus the mean divided by the standard deviation of all query complexity scores.

## D.2 Interface

*D.2.1 Data Collection.* Data generated by the study included time to plan (time from updating the query prompt until pressing record), time to record (time from pressing record to stopping the recording), number of attempts per query, dictation transcript, and audio recordings of each dictation attempt. All data was captured within participants' browser sessions and uploaded to a web server and database for processing and analysis during the session. In an effort to add explanatory power to our quantitative results, we asked participants to complete a voluntary post-participation survey.

## D.3 Session Sequence

The objective time to complete a study session was 90 minutes. Participants were informed prior-to beginning that it was possible that a session may exceed 90 minutes, and that they could opt to end the session prior to completing all queries.

The objective session schedule:

- 0-23: Training video
- 23-25: Q&A + UI orientation
- 25-35: Practice
- 35-61: Q 1-12 Dialect 1
- 61-64: Intermission
- 64-90: Q 1-12 Dialect 2
- 90+: Debrief and survey

We employed a latin squares counterbalancing strategy to mitigate learning effect by randomly assigning participants to one of two groups (i.e. SpeakQL first, then SQL or SQL first, then SpeakQL).

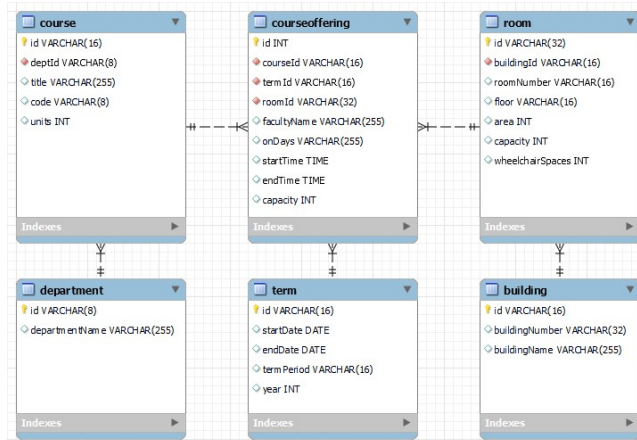
**D.3.1 Training.** Participants received SpeakQL dialect training by way of a 22 minute video that introduced the four SpeakQL features under evaluation as well as the schema used for all study questions.

The video is hosted at:

<https://www.youtube.com/watch?v=JEtoFtjNqew>.

## D.4 Schema

The database schema referenced in all study query prompts was designed to represent a likely data model used for scheduling classes. We elected to employ a simple university catalogue model because our target participant population was university students who would be familiar with the concepts represented in the schema.



**Figure 21: User Study Schema**

The schema (Figure 21) consists of six tables:

- department(id, departmentName)
- course(id, deptId, title, code, units)
- courseoffering(id, courseId, termId, roomId, facultyName, onDays, startTime, endTime, capacity)
- room(id, buildingId, roomNumber, floor, area, capacity, wheelchairSpaces)
- term(id, startDate, endDate, termPeriod, year)
- building(id, buildingNumber, buildingName)

The university data model resembles a snowflake schema where the *courseoffering* table joins together *course*, *term*, and *room* to represent a course offering during a specific term (e.g. Fall 2021), and the room and building in which it is offered.

## D.5 Queries

The query prompts, possible correct answers, and complexity (raw weighted scores and standardized scores) can be viewed in tables 12 and 13.

Attribute	Weight
Number of Tables	0.8
Number of Columns	0.25
Number of Functions	1.0
Number of Selections (Where Predicates)	0.8
Number of Joins	1.2
Number of Modifiers	1.0

**Table 3: Query Complexity Attribute Weights**

## E SURVEY FEEDBACK

### E.1 Survey Feedback With Coding

This section contains all survey free-text comments by participant. Coding annotations were added during analysis and are preceded by the # symbol.

#### E.1.1 Participant 1. **Was there anything else that you liked about your experience using the SpeakQL dialect?**

The localized predicates and projections made it easier to start speaking stuff rather than just think for too long;

#userstudy-unbundling-less-planning-needed

whereas for SQL had to think and collect items for different tables.

#userstudy-sql-planning-burden

For SpeakQL could just look at a table and collect items and then move onto another table.

#userstudy-unbundling-one-table-at-a-time

The count of/ the average of is much easier than count(),avg() when we are talking.

#userstudy-natural-functions-easier-when-talking

#### **Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

All the synonyms was daunting, too many synonyms.

#userstudy-too-many-synonyms

Was afraid of saying the incorrect synonym. Do we really need all these synonyms? How many do we need? Too many synonyms might create the perception of natural language which will cause them to create incorrect queries.

#userstudy-false-natural-language-problem

When there are more than two tables to join, the unbundling syntax is hard to use.

#userstudy-complex-unbundling-syntax-difficult

#### E.1.2 Participant 2. **Do you have any suggestions for other expression reordering features that would make dictating queries easier?**

I am curious if we can reorder the groupby, having and order by statements just like the initial select.

#userstudy-suggest-modifier-reordering

#### **Do you have any suggestions for other function dictation features that would make dictating queries easier?**

None, I felt this was really good.

#userstudy-natural-functions-easier-when-talking

#### **Do you have any suggestions for other complex query unbundling features that would make dictating queries easier?**

Though complex query bundling was easier,

#userstudy-complex-unbundling-easier

it takes a lot of time to go from creating individual table queries, and then joins and then grouping them, and so because I was querying the columns from all the tables together first and then making all the joins in one go, I felt it a little inconvenient.

#userstudy-complex-unbundling-syntax-difficult

However, I missed the fact that I can simply query 2 tables and join and then go to the next table would have been easier. Definitely would suggest to pass this instruction to the next participant.

#userstudy-unbundling-order-options-good

#userstudy-need-practice

#### **What changes could make you more likely to use the modifier ordering feature?**

I didn't realize this existed. One of my previous suggestions is the same as this. So I am glad that SpeakQL has this feature as well.

#userstudy-modifier-reordering-good

#### **Was there anything else that you liked about your experience using the SpeakQL dialect?**

It is easier to create queries if construction can happen from Natural Language,

#userstudy-general-positive-feedback

I really like this project. And also [the research facilitator] was patient and I felt the entire flow was good.

#### **Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

Yes, using the JOIN WITH

#userstudy-join-synonyms-difficult

and mentioning the QUOTES when comparing string fields.

#userstudy-dislike-punctuation-dictation -dictation

#### E.1.3 Participant 3. **Was there anything else that you liked about your experience using the SpeakQL dialect?**

Much cooler way of using SQL

#userstudy-general-positive-feedback

#### **Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

At times speaking the exact words like comma was a bit confusing, but comes easy with practice

#userstudy-dislike-punctuation-dictation

#userstudy-need-practice

#### E.1.4 Participant 4. #userstudy-nofeedback

#### E.1.5 Participant 5. **What changes could make you more likely to use the natural function feature?**

I think it's a very useful feature

#userstudy-natural-functions-useful

and I missed using it because of unfamiliarity with SpeakQL and strong familiarity/usage in SQL

#userstudy-sql-familiarity-less-speakql-use

#### E.1.6 Participant 7. #userstudy-nofeedback

#### E.1.7 Participant 8. **Do you have any suggestions for other keywords that would make dictating queries easier?**

Sometimes I tend to say "THE TABLE course" for example, instead of "THE course TABLE".

#userstudy-suggestion-synonym-the-table

#### **What changes could make you more likely to use the expression ordering feature?**

Not really, I guess it's just I'm used to the SQL syntax.

#userstudy-sql-familiarity-less-speakql-use

#### **Do you have any suggestions for other function dictation features that would make dictating queries easier?**

Omit left/right for cases without nested parenthesis, which I'd say happens much more often? But maybe this provides too little benefit.

#userstudy-dislike-punctuation-dictation

#userstudy-suggestion-punctuation-no-leftright-paren

**Do you have any suggestions for other complex query unbundling features that would make dictating queries easier?**

Is it possible to make "GROUP BY automatically" automatically?

#userstudy-suggestion-syntax-groupby-automatically-automatic

**Do you have any suggestions for other expression modifier-related features that would make dictating queries easier?**

Maybe move having clauses into where clauses, especially in unbundled queries, and then auto-detect it and move to a SQL HAVING clause, if possible.

#userstudy-suggestion-syntax-unbundling-having-with-where

**Was there anything else that you liked about your experience using the SpeakQL dialect?**

No particular things, but I guess just in general the feel of using it in spoken context is much easier and natural.

#userstudy-general-positive-feedback

#userstudy-natural-feel-easier

**Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

I tend to get used to language syntax, especially well-designed languages, and thus I don't like having too much flexibility in the case of JOIN for example. But I guess this was done for NLP purposes, so I'm not objecting it 100

#userstudy-too-much-flexibility

#userstudy-join-synonyms-difficult

*E.1.8 Participant 6. Do you have any suggestions for other keywords that would make dictating queries easier?*

Select synonyms - Retrieve

#userstudy-suggestion-synonym-retrieve

**What changes could make you more likely to use the expression ordering feature?**

If i use it more frequently that i have optional order i might start using it

#userstudy-need-practice

or atleast don't have to worry about ordering especially in bigger queries

#userstudy-ordering-might-use-with-practice

**Do you have any suggestions for other function dictation features that would make dictating queries easier?**

It seems intuitionally correct. So, good work capturing that

#userstudy-natural-functions-useful

**Do you have any suggestions for other complex query unbundling features that would make dictating queries easier?**

Although it was helpful everytime to use 'And then' but i found it repetitive so not sure how to include this feedback to suggestion

#userstudy-unbundling-and-then-repetitive

#userstudy-complex-unbundling-syntax-difficult

**Do you have any suggestions for other expression modifier-related features that would make dictating queries easier?**

Automatically is great option but felt less intuitional when speaking naturally

#userstudy-automatically-less-intuitive-less-natural

**Was there anything else that you liked about your experience using the SpeakQL dialect?**

It's certainly great step towards being intuitional speaking-wise in the basic SQL utilities.

#userstudy-general-positive-feedback

**Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

Not sure if things like quotes, distinct , other joins (left,right,full outer) are handled already. From experience pfov, Quotes if not handled is a bit of a major issue if not handled already.

#userstudy-unsure-of-expressiveness

*E.1.9 Participant 10. Was there anything else that you liked about your experience using the SpeakQL dialect?*

SpeakQL definitely makes dictation of queries more natural without worrying a lot about the syntax (which would include the orders) and even the parentheses.

#userstudy-general-positive-feedback

#userstudy-natural-feel-easier

**Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

Found the usage of quote unnecessary, it would be great if SpeakQL takes care of this as well.

#userstudy-dislike-punctuation-dictation

*E.1.10 Participant 12. #userstudy-nofeedback*

*E.1.11 Participant 11. Do you have any suggestions for other keywords that would make dictating queries easier?*

The join synonyms can use some structural changes. Coming from SQL to SpeakQL, the join statement took me the longest to get used to.

#userstudy-join-synonyms-difficult

**Was there anything else that you liked about your experience using the SpeakQL dialect?**

I liked how it is highly structured similar to the SQL syntax, many features such as unbundling definitely improved the ease of usage compare to SQL.

#userstudy-structure-good

#userstudy-unbundling-ease-of-use

#userstudy-easier-than-sql

#userstudy-easy-to-use

**Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

It takes quite a bit to get used to.

#userstudy-need-practice

Some statement seems a little out of place compare to the commonly used statement (ex: SELECT has many synonyms I can use, while LIMIT, GROUP BY doesn't, so I struggled to find the correct wording)

#userstudy-synonym-imbalance

*E.1.12 Participant 13. Was there anything else that you liked about your experience using the SpeakQL dialect?*

It is easy to use

#userstudy-easy-to-use

and provides an efficient unbundling feature to break down complex queries.

#userstudy-unbundling-ease-of-use

*E.1.13 Participant 14. Was there anything else that you liked about your experience using the SpeakQL dialect?*

Complex queries became easier

#userstudy-complex-querying-easier

**Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

Slight worries about the language nuances  
#userstudy-language-nuance-concern

*E.1.14 Participant 16. Was there anything else that you liked about your experience using the SpeakQL dialect?*

What I like the most is that it is almost like thinking out loud. You just think about what you want to do, and say the query, which makes it way more convenient.

#userstudy-thinking-out-loud  
#userstudy-unbundling-less-planning-needed  
The ungrouping feature is particularly useful.  
#userstudy-unbundling-useful

*E.1.15 Participant 17. #userstudy-nofeedback*

*E.1.16 Participant 19. Was there anything else that you liked about your experience using the SpeakQL dialect?*

I could really understand the need for the bundling feature while using the SpeakQL dialect when I was formulating queries with multiple joins and same columns in multiple tables.

#userstudy-unbundling-no-ambiguous-columns

Since I did not have to worry about ambiguous column names, I could write the bundles separately faster and join them all later on.

#userstudy-unbundling-feels-faster

Using SQL, I would need to be more aware of the aliases of the columns and the tables while writing the query.

#userstudy-complex-unbundling-easier

*E.1.17 Participant 20. Was there anything else that you liked about your experience using the SpeakQL dialect?*

Interesting to speak SQL

#userstudy-general-positive-feedback

**Was there anything else that you DID NOT like about your experience using the SpeakQL dialect?**

Unbundling is slightly difficult to interpret in the first time

#userstudy-need-practice

#userstudy-complex-unbundling-syntax-difficult

## F USER STUDY RESULTS

### F.1 Statistical Analysis

Based on the non-parametric properties of our results, we used the Mann-Whitney U test to evaluate statistical significance of the experiment.

For three dependent variables *first planning time*, *total time*, *number of attempts*, we separate the data into simple and complex query results in table 4.

	P Val	SQL Median	SpeakQL Median
First Planning Time - Simple	0.144	31.5	38.5
First Planning Time - Complex	0.295	72.0	66.0
Recording Time - Simple	0.214	13.5	15.5
Recording Time - Complex	0.122	32.0	34.0
Total Time - Simple	0.222	55.5	55.8
Total Time - Complex	0.336	137	131

**Table 4: Mann Whitney U Test Results for Simple and Complex Queries**

We provide a query-level view of the same dependent variables in tables 5, 6, and 7.

Query	P Val	SQL Median	SpeakQL Median
1	0.247740	20.5	22.0
2	0.348923	10.5	11.0
3	0.336262	53.0	58.5
4	0.069565	51.5	66.5
5	0.380095	45.5	48.5
6	0.340583	69.5	68.5
7	0.481270	79.0	65.0
8	0.420244	105.0	96.0
9	0.175026	52.0	50.0
10	0.470904	73.0	68.0
11	0.330556	37.0	42.0
12	0.330713	147.0	119.0

**Table 5: Mann Whitney U Test Results by Query - First Planning Time**

Query	P Val	SQL Median	SpeakQL Median
1	0.495315	34.5	32.5
2	0.420752	20.0	20.5
3	0.259258	78.5	93.5
4	0.012856	78.0	115.5
5	0.319349	83.5	91.0
6	0.140103	113.5	137.5
7	0.148052	115.0	130.5
8	0.329868	160.0	192.0
9	0.046561	137.0	115.0
10	0.206796	142.0	116.0
11	0.206694	92.0	89.0
12	0.476718	260.0	270.0

**Table 6: Mann Whitney U Test Results by Query - Total Time**

Query	P Val	SQL Median	SpeakQL Median
1	0.002310	10.0	7.5
2	0.001484	10.0	6.0
3	0.179456	16.5	19.0
4	0.147531	24.0	24.0
5	0.302412	21.0	20.0
6	0.318916	26.0	25.0
7	0.012078	29.0	38.5
8	0.224763	32.0	34.0
9	0.385004	34.0	34.0
10	0.488340	33.0	35.0
11	0.447685	30.0	32.0
12	0.047982	66.0	79.0

**Table 7: Mann Whitney U Test Results by Query - Recording Time**

For recording time, the statistically significant differences in the first two queries are likely due to the use of natural functions, as both query prompts require a function reference to derive the correct answer. Recording time for query 12 is likewise significant because the query requires at least four join expressions to form a correct answer. This means that the verbosity of unbundling results in longer recording times for this query.

### F.2 Learning Effect

We employed a latin squares method with counterbalanced groups. Group one answered all 12 queries using SpeakQL first, then answered the same 12 queries using SQL. Group two answered using SQL first, then SpeakQL.

**Observations.** We analyzed the dependent variable results to assess any learning effect that may have occurred in the results and observe convergent learning between the first and second half of the session for both dialects, suggesting that some dialect-specific asymmetric learning occurred [19] that affected planning time. The intersection of SpeakQL and SQL lines in Figure 23 suggest that participants who were in the group that used SpeakQL in the second

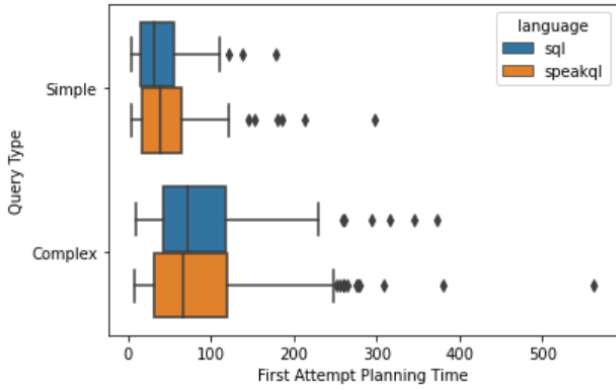


Figure 22: Simple and Complex Query Planning Time

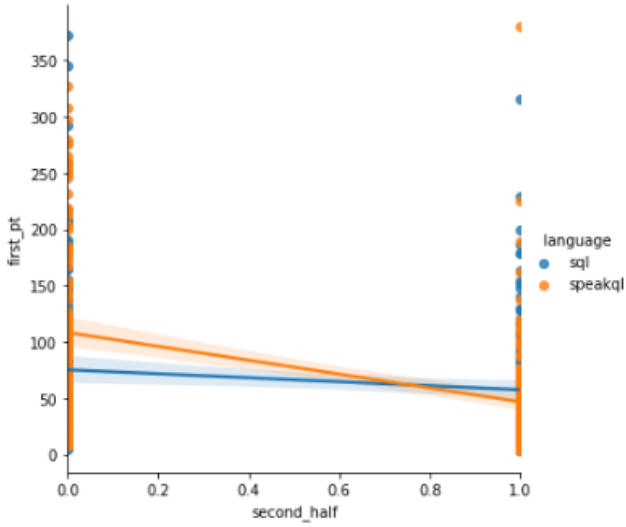


Figure 23: Learning Effect - SpeakQL Planning Time Performance Improvement

half of the experiment benefitted from some learning factor present in the first half of the experiment that participants who were in the opposite group did not.

**Analysis.** Figures 27 and 28 are reproductions of Figures 6b and 6a from the main body of the report. For both dependent variables, we see evidence of a SpeakQL dialect learning curve (leftmost, blue boxes) that lessens as the session progresses. We also observe that group 2 (SQL first) performed better overall in both dialects for almost all queries, and the SpeakQL learning curve is less evident. When we further break the data down into attempts where unbundling was used or avoided (See figure 29) we can see that second half performance of group 2 (using SpeakQL in the second half) is somewhat affected by unbundling usage, but that the effect is query-dependent where for some queries, using unbundling seems advantageous (query 12), disadvantageous (queries 4, 5, 6, 7, and 11), or had no effect (8, 9, 10). Generally, as the second half of

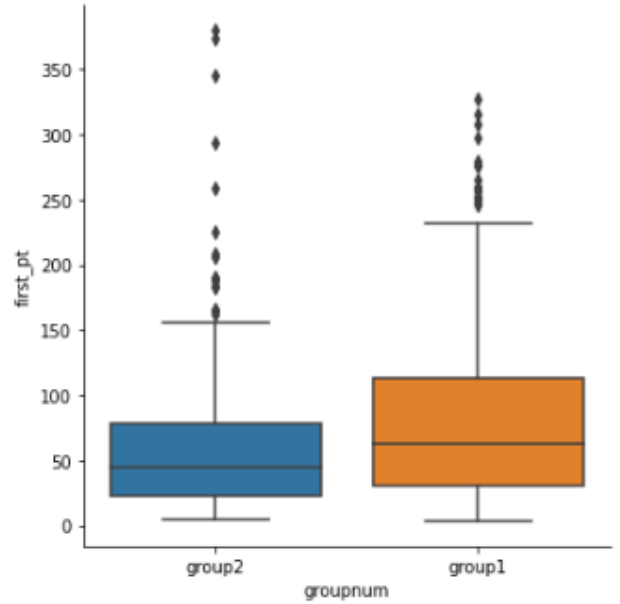


Figure 24: Imbalance Between SpeakQL->SQL (Group 1) and SQL->SpeakQL (Group 2) first planning time performance. Group 1 median: 62.0; Group 2 median: 44.5; Mann-Whitney U Test P Value: 0.00007

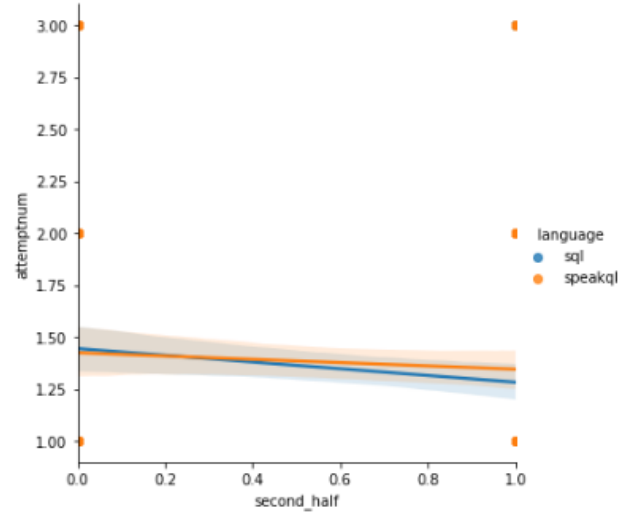
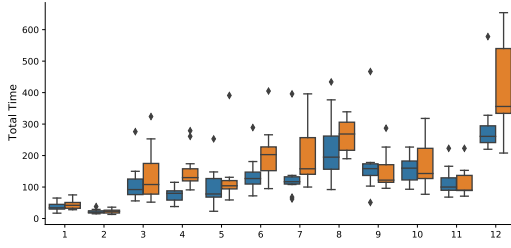


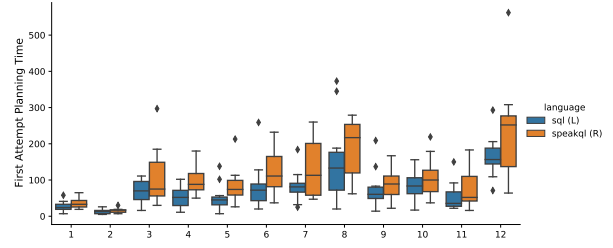
Figure 25: Learning Effect - SpeakQL Attempt Number Performance Improvement

group 2's session progressed, the non-unbundling advantage seems to diminish.

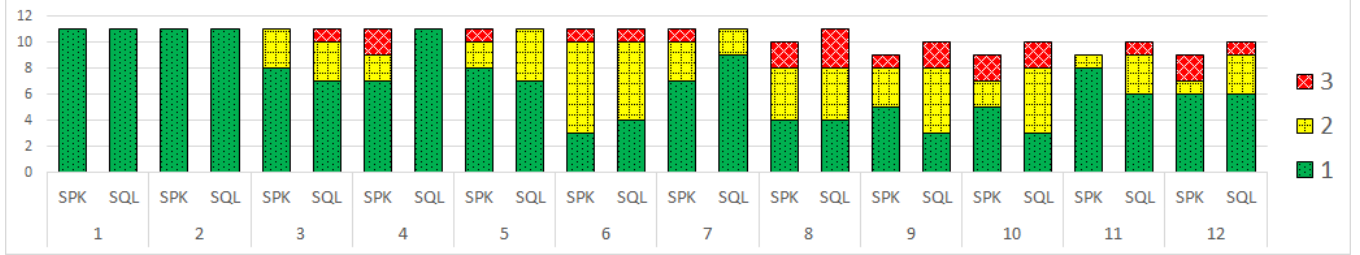
**Discussion.** Though it is uncertain exactly why this effect occurred, we believe that participant familiarity with SQL allowed them to become more comfortable with the general query dictation process using the study interface, which may have lead to a more



(a) First half - Total Time, All Attempts, By Individual Query



(b) First half - Planning Time, First Attempts, By Individual Query



(c) First half - Number of Attempts By Individual Query

Figure 26: Quantitative results from the first half.

	Simple	Complex
MWU-test P Val	0.004	0.006
median SQL	32	80
median SpeakQL	58	112

Table 8: First half Mann Whitney U Test Results by Complexity - First attempt planning time

	Simple	Complex
MWU-test P Val	0.035	0.013
median SQL	60	153
median SpeakQL	89	191

Table 9: First half Mann Whitney U Test Results by Complexity - Total attempttime

rapid adoption of the process. Conversely, participants who were in the SpeakQL first group may have had a harder time becoming more comfortable with the general dictation process while they were also grappling with the use of the relatively unfamiliar SpeakQL dialect features.

Another possible explanation of the asymmetric learning observed in Figure 23 is the tendency for participants in the SQL first group to be more avoidant of SpeakQL features. In these avoidance situations, participants would have been more likely to benefit from the practice gained in the first half of the session because their SpeakQL queries would have more closely resembled a standard SQL query.

Besides counterbalancing, another asymmetric learning avoidance strategy is to perform a between subjects study [15] where participants are randomly assigned to only one treatment group. In our post-hoc analysis, we evaluated first half performance using the same measurements present in the main body of the paper, assuming that this may approximate the results of a between subjects experiment.

Tables 8 and 9 reveal significant differences between SQL and SpeakQL dialects for both simple and complex queries, where SpeakQL users took longer during first attempt planning as well as for their entire attempt. Associated box and whisker plots and

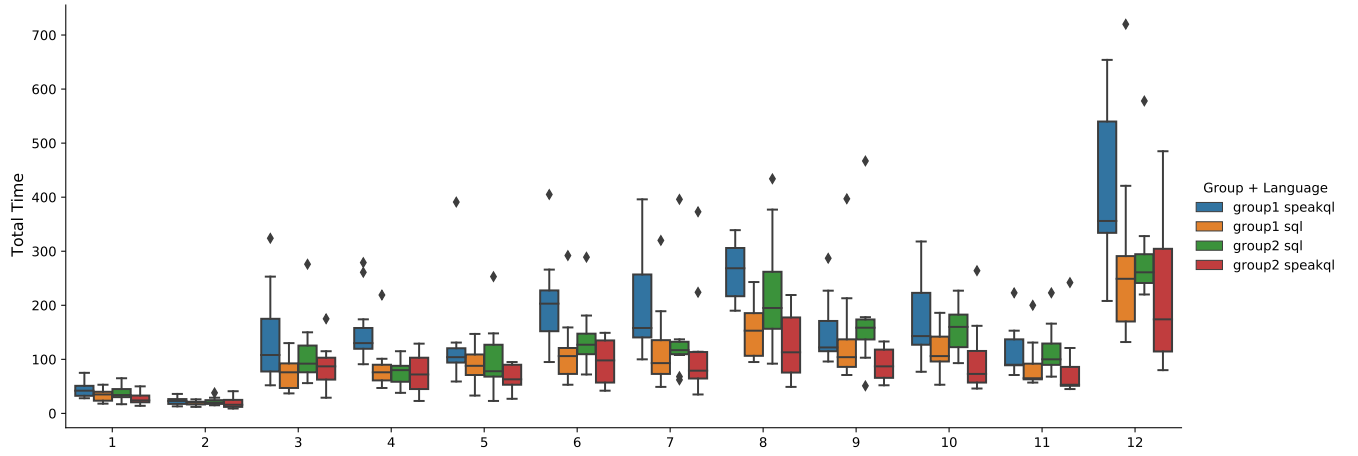
barcharts from the main body of the report are also reproduced for only the first half (See Figure 26). A limitation of this reproduction is the asymmetry in observations due to an imbalanced dropout rate between groups, where two participants from group 1 completed fewer than 12 queries and one participant from group 2 completed fewer than 12 queries. We see significant differences by query for queries 4 and 5 for first attempt planning time, and queries 4, 6, 7 and 12 for total time. All significant differences in both variables favored SQL performance. Numbers of attempts required to form a correct query favored SQL for simple queries; but in the second of the session, excepting query 7, results favor SpeakQL where users required fewer attempts (queries 9, 10, and 11) or the same number of attempts (queries 8, and 12). Other aspects of study design including amount of training, dropout policies to maintain sample balances between dialects, and number of practice queries were not set up to facilitate a between subjects experiment. Should we perform a between subjects follow-up study in the future, these design considerations must be incorporated in order to lend validity to our post-hoc analysis observations.

	1	2	3	4	5	6	7	8	9	10	11	12
MWU-test P Val	0.078	0.146	0.188	0.003	0.033	0.065	0.100	0.085	0.196	0.196	0.244	0.135
median SQL	24.0	13.0	70.0	52.0	45.0	72.0	81.0	133.0	60.5	83.5	35.5	156.5
median SpeakQL	33.0	17.0	75.0	88.0	74.0	111.0	113.0	217.0	89.0	100.0	52.0	252.0

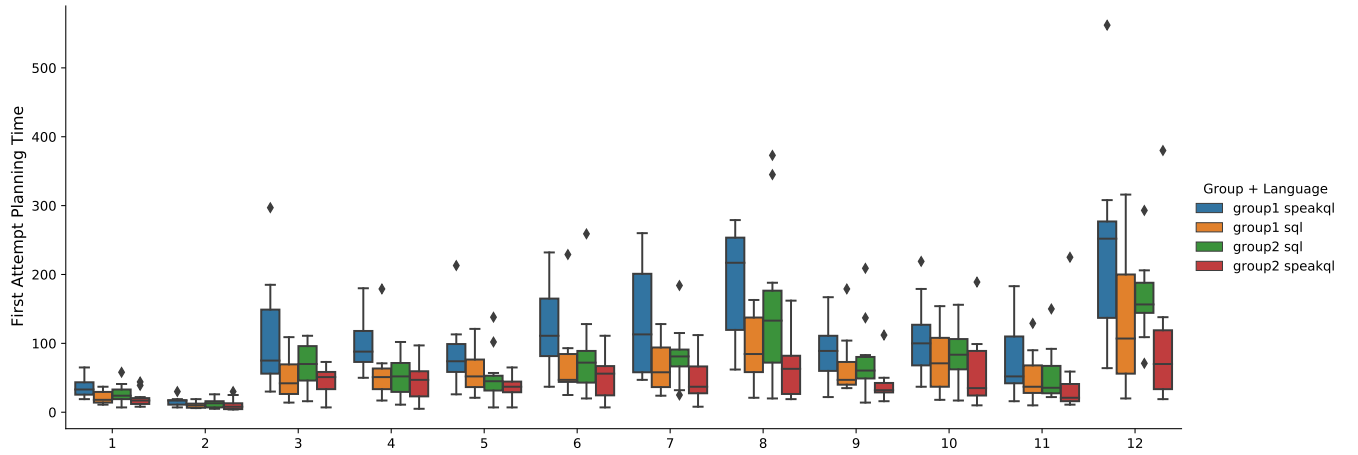
**Table 10: Mann Whitney U Test Results by Query for attempts in the first dialect used per group - First attempt planning time**

	1	2	3	4	5	6	7	8	9	10	11	12
MWU-test P Val	0.187	0.422	0.311	0.000	0.225	0.013	0.006	0.085	0.244	0.484	0.451	0.019
median SQL	34.0	20.0	92.0	80.0	78.0	127.0	117.0	195.0	158.5	160.0	100.0	261.0
median SpeakQL	42.0	23.0	108.0	130.0	104.0	203.0	158.0	268.5	122.0	143.0	90.0	356.0

**Table 11: Mann Whitney U Test Results by Query for attempts in the first dialect used per group - Total attempt time**



**Figure 27: Dialect performance measured by total time and separated by group. Group 1: SpeakQL-first, Group 2: SQL-first**



**Figure 28: Dialect performance measured by first attempt planning time and separated by group. Group 1: SpeakQL-first, Group 2: SQL-first**

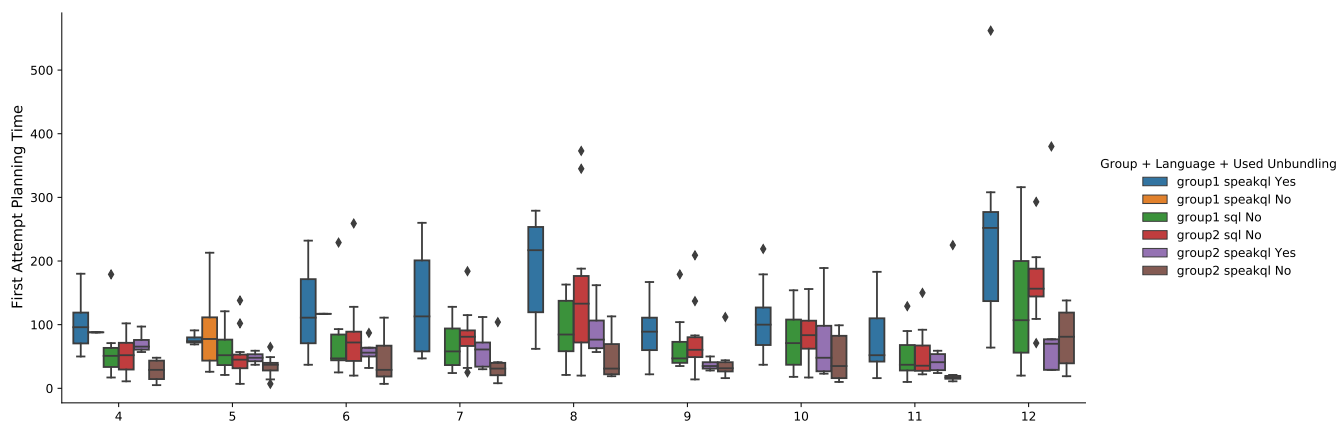


Figure 29: Dialect performance measured by first attempt planning time and separated by group and unbundling usage. Group 1: SpeakQL-first, Group 2: SQL-first

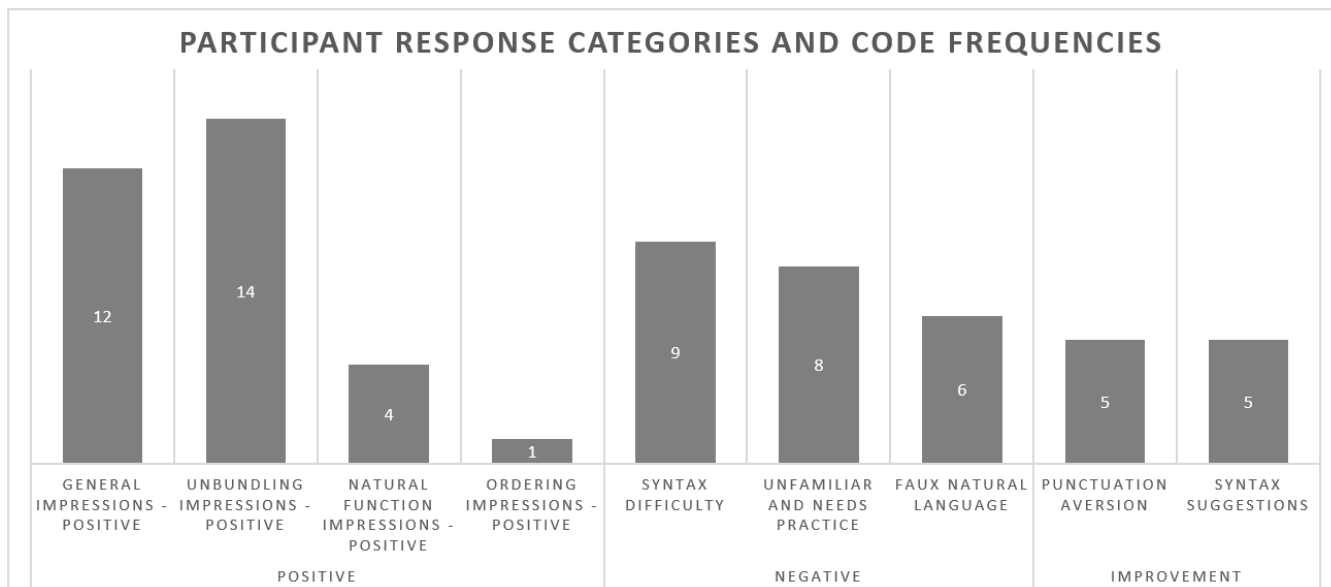


Figure 30: Thematic Analysis

No.	Prompt	SQL Example	SpeakQL Example	Complexity
P-1	which term years are present in the database?	SELECT DISTINCT year FROM term	in the term table get distinct year	W: 1.05 S: -1.28
P-2	how many rooms have at least two wheelchair spaces?	select count(*) as "Number of Rooms" from room where wheelchairSpaces >= 2	find the count of roomNumber where wheelchairSpaces >= 2	W: 2.85 S: -0.69
P-3	during which terms is the course with id 'anth1' taught by faculty named 'Jane Doe'? Include the term year and term period in the result.	SELECT term.id, term.termPeriod, term.year FROM term INNER JOIN courseOffering ON term.id = courseOffering.termId INNER JOIN course ON courseOffering.courseId = course.id WHERE course.id = 'anth1' AND facultyName = 'Jane Doe'	get year and termperiod from the term table AND THEN get nothing from the course table where id = 'anth1' AND THEN get nothing from the courseoffering table where facultyname = 'Jane Doe' AND THEN join course with courseoffering on course.id = courseoffering.id AND THEN join courseoffering with the term table on term.id = courseoffering.termid	W: 8.50 S: 1.29
Q-1	how many buildings does UCSD have?	SELECT COUNT(*) FROM building	what is the count of buildingNumber in the building table	W: 2.05 S: -0.95
Q-2	How many departments does UCSD have?	SELECT COUNT(*) FROM department	get the count of distinct departmentname from the department table	W: 2.05 S: -0.95
Q-3	make a list of courses offered by the CSE department that includes the course title and units columns. The CSE department ID is 'CSE'.	SELECT course.title, course.units FROM course WHERE course.deptId = 'CSE'	find title and units in the course table where deptId = 'CSE'	W: 2.10 S: -0.94
Q-4	Display the building names for buildings that have a room with roomnumber 2001.	SELECT building.buildingName FROM building JOIN room ON building.id = room.buildingId WHERE room.roomNumber = '2001'	from the room table get nothing where roomnumber = 2001 AND THEN from the buildingtable get buildingname AND THEN join room with building on room.buildingid = building.id	W: 3.85 S: -0.94
Q-5	How many buildings have a room with at least three wheelchair spaces?	SELECT COUNT(DISTINCT buildingId) FROM room WHERE wheelchairSpaces >= 3	what is the count of buildingname in the building table joined with the room table on room.buildingid = building.id where wheelchairspace >= 3	W: 2.85 S: -0.69
Q-6	what is the sum total number of wheelchair spaces in each building? Show the building name and the number of spaces in each building in the result.	SELECT buildingName, SUM(wheelchairSpaces) AS totalWheelchairSpaces FROM building JOIN room ON building.id = room.buildingId GROUP BY buildingName ORDER BY totalWheelchairSpaces DESC	show me the sum of wheelchair spaces in the room table AND THEN in the building table get buildingname AND THEN join room with building on room.buildingid = building.id AND THEN group automatically	W: 5.05 S: 0.02
Q-7	Find the titles of all courses offered in terms with the year 2022.	SELECT title FROM course JOIN courseOffering ON course.id = courseOffering.courseId JOIN term ON courseOffering.termId = term.id WHERE year = 2022	get title from course AND THEN get nothing from term where year = 2022 AND THEN join course with courseoffering on course.id = courseoffering.id AND THEN join courseoffering with the term table on term.id = courseoffering.termid	W: 5.85 S: 0.28
Q-8	Find the five departments that have the the highest count of courses and display them in descending order. Include department name in the result.	SELECT departmentName, COUNT(courseId) FROM courseOffering JOIN department ON department.id = courseOffering.deptId GROUP BY departmentName ORDER BY COUNT(courseId) DESC LIMIT 5	what is the count of id in the course table AND THEN show me departmentName in department AND THEN join department with course on course.deptId = department.id AND THEN group automatically limit 5 order by departmentName descending	W: 6.05 S: 0.35  W: Weighted S: Standardized

Table 12: User Study Queries (Practice - Query 8)

No.	Prompt	SQL Example	SpeakQL Example	Complexity
Q-9	Generate a list of departments that offer more than 100 courses. Include the count of all courses associated with the department, and the department name in the result.	SELECT departmentName, COUNT(*) AS numCourses FROM department JOIN course ON department.id = course.deptId GROUP BY departmentName HAVING COUNT(*) > 100	from department get departmentname AND THEN from course get the count of id AND THEN group automatically having count of course.id >= 100 AND THEN join the course table with the department table on course.deptid = department.id	W: 6.05 S: 0.35
Q-10	Make a list that shows the building name, building number, and average room area for buildings that have rooms with an average area that is greater than 1000	SELECT building.buildingName, building.buildingNumber, AVG(room.area) FROM building JOIN room ON building.id = room.buildingId GROUP BY building.id HAVING AVG(room.area) > 1000	show me buildingName and buildingNumber in the building table AND THEN get nothing from room AND THEN group automatically having average room.area > 1000 AND THEN join building with room on building.id = room.buildingId	W: 6.30 S: 0.43
Q-11	list the three buildings with the highest average room area. Include the building name and building number in the result.	select buildingNumber, buildingName, avg(area) as avgArea from building join room on building.id = room.buildingId group by buildingNumber, buildingName order by avgArea desc limit 3	show me buildingname in the building table AND THEN show me the average area in the room table AND THEN join the room table with the building table on room.buildingid = building.id AND THEN order by average area descending limit 3 group automatically	W: 7.30 S: 0.75
Q-12	what are the titles of the classes being held in the building 'York Hall' in room with room number 2622 during the fall 2020 term? Include the course title in the result. NOTE: the termPeriod column in the term table contains the values 'fall', 'spring', 'winter', and 'summer'.	SELECT title FROM courseOffering INNER JOIN course ON courseOffering.courseId = course.id INNER JOIN room ON courseOffering.roomId = room.id INNER JOIN building ON room.buildingId = building.id INNER JOIN term ON courseOffering.termId = term.id WHERE building.buildingName = 'York Hall' AND room.roomNumber = '2622' AND term.termPeriod = 'Fall' AND term.year = 2020	from the course table show me title AND THEN show me nothing in the room table where roomnumber = 2622 AND THEN show me nothing in the building table where buildingName = 'York Hall' AND THEN join the course table with the courseoffering table on course.id = courseoffering.courseId AND THEN join courseoffering with the term table on term.id = courseoffering.termid AND THEN join the courseoffering table with the room table on courseoffering.roomid = room.id and then join room with building on room.buildingid = building.id	W: 12.25 S: 2.36  W: Weighted S: Standardized

**Table 13: User Study Queries (Query 9 - 12)**